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# HOWREY & SIMON Box No. 34 1299 Pennsylvania Avenue, N.W. Washington, D.C. 20004-2402 (650) 463-8100

Attorney Docket No. 801.87.US01

**Box Patent Application** 

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Sir:

Transmitted herewith for filing is the patent application of Thomas H. Turpen; Stephen J. Reinl; and Lawrence K. Grill for PRODUCTION OF PEPTIDES IN PLANTS AS VIRAL COAT PROTEIN FUSIONS

Also, enclosed are:

- 1. 8 sheets of informal drawings
- 2. Copy of executed Declaration
- 3. Copy of executed Small Entity Statement
- 4. Preliminary Amendment
- 5. Two (2) return postcards.

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Date April 7, 1998

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## PRODUCTION OF PEPTIDES IN PLANTS AS VIRAL COAT PROTEIN FUSIONS

Thomas H. Turpen,
Stephen Reinl,
Laurence K. Grill

### Field of the Invention

5

The present invention relates to the field of genetically engineered peptide production in plants, more specifically, 10 the invention relates to the use of tobamovirus vectors to express fusion proteins.

### CROSS-REFERENCE TO RELATED APPLICATIONS

The present application is a continuation-in-part of

15 application 08/176,414, filed on December 29, 1993 which is a

continuation-in-part of application Serial No. 07/997,733,

filed December 30, 1992.

### BACKGROUND OF THE INVENTION

variety of important chemical and biological properties. Some examples include; hormones, cytokines, immunoregulators, peptide-based enzyme inhibitors, vaccine antigens, adhesions, receptor binding domains, enzyme inhibitors and the like. The cost of chemical synthesis limits the potential applications of synthetic peptides for many useful purposes such as large scale therapeutic drug or vaccine synthesis. There is a need for inexpensive and rapid synthesis of milligram and larger quantities of naturally-occurring polypeptides. Towards this goal many animal and bacterial viruses have been successfully used as peptide carriers.

The safe and inexpensive culture of plants provides an improved alternative host for the cost-effective production of such peptides. During the last decade, considerable progress has been made in expressing foreign genes in plants. Foreign proteins are now routinely produced in many plant species for modification of the plant or for production of proteins for

use after extraction. Animal proteins have been effectively produced in plants (reviewed in Krebbers et al., 1992).

Vectors for the genetic manipulation of plants have been derived from several naturally occurring plant viruses,

- 5 including TMV (tobacco mosaic virus). TMV is the type member of the tobamovirus group. TMV has straight tubular virions of approximately 300 X 18 nm with a 4 nm-diameter hollow canal, consisting of approximately 2000 units of a single capsid protein wound helically around a single RNA molecule. Virion
- 10 particles are 95% protein and 5% RNA by weight. The genome of TMV is composed of a single-stranded RNA of 6395 nucleotides containing five large ORFs. Expression of each gene is regulated independently. The virion RNA serves as the messenger RNA (mRNA) for the 5' genes, encoding the 126 kDa
- 15 replicase subunit and the overlapping 183 kDa replicase subunit that is produced by read through of an amber stop codon approximately 5% of the time. Expression of the internal genes is controlled by different promoters on the minus-sense RNA that direct synthesis of 3'-coterminal
- 20 subgenomic mRNAs which are produced during replication (Figure 1). A detailed description of tobamovirus gene expression and life cycle can be found, among other places, in Dawson and Lehto, Advances in Virus Research 38:307-342 (1991). It is of interest to provide new and improved vectors for the genetic 25 manipulation of plants.

For production of specific proteins, transient expression of foreign genes in plants using virus-based vectors has several advantages. Products of plant viruses are among the highest produced proteins in plants. Often a viral gene

- 30 product is the major protein produced in plant cells during virus replication. Many viruses are able to quickly move from an initial infection site to almost all cells of the plant. Because of these reasons, plant viruses have been developed into efficient transient expression vectors for foreign genes
- 35 in plants. Viruses of multicellular plants are relatively small, probably due to the size limitation in the pathways that allow viruses to move to adjacent cells in the systemic

infection of entire plants. Most plant viruses have single-stranded RNA genomes of less than 10 kb. Genetically altered plant viruses provide one efficient means of transfecting plants with genes coding for peptide carrier fusions.

### SUMMARY OF THE INVENTION

The present invention provides recombinant plant viruses that express fusion proteins that are formed by fusions

- 10 between a plan viral coat protein and protein of interest. By infecting plant cells with the recombinant plant viruses of the invention, relatively large quantities of the protein of interest may be produced in the form of a fusion protein. The fusion protein encoded by the recombinant plant virus may have
- 15 any of a variety of forms. The protein of interest may be fused to the amino terminus of the viral coat protein or the protein of interest may be fused to the carboxyl terminus of the viral coat protein. In other embodiments of the invention, the protein of interest may be fused internally to
- 20 a coat protein. The viral coat fusion protein may have one or more properties of the protein of interest. The recombinant coat fusion protein may be used as an antigen for antibody development or to induce a protective immune response.

Another aspect of the invention is to provide

25 polynucleotides encoding the genomes of the subject recombinant plant viruses. Another aspect of the invention is to provide the coat fusion proteins encoded by the subject recombinant plant viruses. Yet another embodiment of the invention is to provide plant cells that have been infected by

30 the recombinant plant viruses of the invention.

### BRIEF DESCRIPTION OF THE FIGURES

Figure 1. Tobamovirus Gene Expression

The gene expression of tobamoviruses is diagrammed.

Figure 2. Plasmid Map of the TMV Transcription Vector pSNC004

The infectious RNA genome of the U1 strain of TMV is synthesized by T7 RNA polymerase in vitro from pSNC004 linearized with KpnI.

5 Figure 3. Diagram of Plasmid Constructions

Each step in the construction of plasmid DNAs encoding various viral epitope fusion vectors discussed in the examples is diagrammed.

10

Figure 4. Monoclonal Antibody (NVS3) Binding to TMV291

The reactivity of NVS3 to the malaria epitope present in TMV291 is measured in a standard ELISA.

15

Figure 5. Monoclonal Antibody (NYS1) Binding to TMV261

The reactivity of NYS1 to the malaria epitope present in TMV261 is measured in a standard ELISA.

20

# DESCRIPTION OF THE SPECIFIC EMBODIMENTS Definitions and Abbreviations

TMV: Tobacco mosaic tobamovirus

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TMVCP: Tobacco mosaic tobamovirus coat protein

Viral Particles: High molecular weight aggregates of viral structural proteins with or without genomic nucleic acids

30

Virion: An infectious viral particle.

### The Invention

The subject invention provides novel recombinant plant 35 viruses that code for the expression of fusion proteins that consist of a fusion between a plant viral coat protein and a protein of interest. The recombinant plant viruses of the invention provide for systemic expression of the fusion protein, by systemically infecting cells in a plant. Thus by employing the recombinant plant viruses of the invention, large quantities of a protein of interest may be produced.

- 5 The fusion proteins of the invention comprise two portions: (i) a plant viral coat protein and (ii) a protein of interest. The plant viral coat protein portion may be derived from the same plant viral coat protein that serves a coat protein for the virus from which the genome of the expression
- 10 vector is primarily derived, i.e., the coat protein is native with respect to the recombinant viral genome. Alternatively, the coat protein portion of the fusion protein may be heterologous, i.e., non-native, with respect to the recombinant viral genome. In a preferred embodiment of the
- is used in conjunction with a tobacco mosaic virus vector. The protein of interest portion of the fusion protein for expression may consist of a peptide of virtually any amino acid sequence, provided that the protein of interest does not
- 20 significantly interfere with (1) the ability to bind to a receptor molecule, including antibodies and T cell receptor (2) the ability to bind to the active site of an enzyme (3) the ability to induce an immune response, (4) hormonal activity, (5) immunoregulatory activity, and (6) metal
- 25 chelating activity. The protein of interest portion of the subject fusion proteins may also possess additional chemical or biological properties that have not been enumerated. Protein of interest portions of the subject fusion proteins having the desired properties may be obtained by employing all
- 30 or part of the amino acid residue sequence of a protein known to have the desired properties. For example, the amino acid sequence of hepatitis B surface antigen may be used as a protein of interest portion of a fusion protein invention so as to produce a fusion protein that has antigenic properties
- 35 similar to hepatitis B surface antigen. Detailed structural and functional information about many proteins of interest are well known, this information may be used by the person of

ordinary skill in the art so as to provide for coat fusion proteins having the desired properties of the protein of interest. The protein of interest portion of the subject fusion proteins may vary in size from one amino acid residue 5 to over several hundred amino acid residues, preferably the sequence of interest portion of the subject fusion protein is less than 100 amino acid residues in size, more preferably, the sequence of interest portion is less than 50 amino acid residues in length. It will be appreciated by those of 10 ordinary skill in the art that, in some embodiments of the invention, the protein of interest portion may need to be longer than 100 amino acid residues in order to maintain the desired properties. Preferably, the size of the protein of interest portion of the fusion proteins of the invention is 15 minimized (but retains the desired biological/chemical properties), when possible.

While the protein of interest portion of fusion proteins of the invention may be derived from any of the variety of proteins, proteins for use as antigens are particularly preferred. For example, the fusion protein, or a portion thereof, may be injected into a mammal, along with suitable adjutants, so as to produce an immune response directed against the protein of interest portion of the fusion protein. The immune response against the protein of interest portion of the fusion protein against infection, and the generation of antibodies useful in immunoassays.

The location (or locations) in the fusion protein of the invention where the viral coat protein portion is joined to

30 the protein of interest is referred to herein as the fusion joint. A given fusion protein may have one or two fusion joints. The fusion joint may be located at the carboxyl terminus of the coat protein portion of the fusion protein (joined at the amino terminus of the protein of interest

35 portion). The fusion joint may be located at the amino terminus of the coat protein portion of the fusion protein (joined to the carboxyl terminus of the protein of interest).

In other embodiments of the invention, the fusion protein may have two fusion joints. In those fusion proteins having two fusion joints, the protein of interest is located internal with respect to the carboxyl and amino terminal amino acid residues of the coat protein portion of the fusion protein, i.e., an internal fusion protein. Internal fusion proteins may comprise an entire plant virus coat protein amino acid residue sequence (or a portion thereof) that is "interrupted" by a protein of interest, i.e., the amino terminal segment o the coat protein portion is joined at a fusion joint to the amino terminal amino acid residue of the protein of interest and the carboxyl terminal segment of the coat protein is joined at a fusion joint to the amino terminal acid residue of the protein of interest.

When the coat fusion protein for expression is an 15 internal fusion protein, the fusion joints may be located at a variety of sites within a coat protein. Suitable sites for the fusion joints may be determined either through routine systematic variation of the fusion joint locations so as to 20 obtain an internal fusion protein with the desired properties. Suitable sites for the fusion jointly may also be determined by analysis of the three dimensional structure of the coat protein so as to determine sites for "insertion" of the protein of interest that do not significantly interfere with 25 the structural and biological functions of the coat protein portion of the fusion protein. Detailed three dimensional structures of plant viral coat proteins and their orientation in the virus have been determined and are publicly available to a person of ordinary skill in the art. For example, a 30 resolution model of the coat protein of Cucumber Green Mottle Mosaic Virus (a coat protein bearing strong structural similarities to other tobamovirus coat proteins) and the virus can be found in Wang and Stubbs J. Mol. Biol. 239:371-384 Detailed structural information on the virus and coat 35 protein of Tobacco Mosaic Virus can be found, among other places in Namba et al, J. Mol. Biol. 208:307-325 (1989) and Pattanayek and Stubbs J. Mol. Biol. 228:516-528 (1992).

Knowledge of the three dimensional structure of a plant virus particle and the assembly process of the virus particle permits the person of ordinary skill in the art to design various coat protein fusion s of the invention, including insertions, and partial substitutions. For example, if the protein of interest is of a hydrophilic nature, it may be appropriate to fuse the peptide to the TMVCP region known to be oriented as a surface loop region. Likewise, alpha helical segments that maintain subunit contacts might be substituted for appropriate regions of the TMVCP helices or nucleic acid binding domains expressed in the region of the TMVCP oriented towards the genome.

Polynucleotide sequences encoding the subject fusion proteins may comprise a "leaky" stop codon at a fusion joint. 15 The stop codon may be present as the codon immediately adjacent to the fusion joint, or may be located close (e.g., within 9 bases) to the fusion joint. A leaky stop codon may be included in polynucleotides encoding the subject coat fusion proteins so as to maintain a desired ratio of fusion 20 protein to wild type coat protein. A "leaky" stop codon does not always result in translational termination and is periodically translated. The frequency of initiation or termination at a given start/stop codon is context dependent. The ribosome scans from the 5'-end of a messenger RNA for the 25 first ATG codon. If it is in a non-optimal sequence context, the ribosome will pass, some fraction of the time, to the next available start codon and initiate translation downstream of the first. Similarly, the first termination codon encountered during translation will not function 100% of the time if it is 30 in a particular sequence context. Consequently, many naturally occurring proteins are known to exist as a population having heterogeneous N and/or C terminal extensions. Thus by including a leaky stop codon at a fusion joint coding region in a recombinant viral vector encoding a 35 coat fusion protein, the vector may be used to produce both a fusion protein and a second smaller protein, e.g., the viral coat protein. A leaky stop codon may be used at, or proximal

to, the fusion joints of fusion proteins in which the protein of interest portion is joined to the carboxyl terminus of the coat protein region, whereby a single recombinant viral vector may produce both coat fusion proteins and coat proteins.

- 5 Additionally, a leaky start codon may be used at or proximal to the fusion joints of fusion proteins in which the protein of interest portion is joined to the amino terminus of the coat protein region, whereby a similar result is achieved. In the case of TMVCP, extensions at the N and C terminus are at
- 10 the surface of viral particles and can be expected to project away from the helical axis. An example of a leaky stop sequence occurs at the junction of the 126/183 kDa reading frames of TMV and was described over 15 years ago (Pelham, H.R.B., 1978). Skuzeski et al. (1991) defined necessary 3'
- 15 context requirements of this region to confer leakiness of termination on a heterologous protein marker gene (B-glucuronidase) as CAR-YYA (C=cytidine, A=adenine, Y=pyrimidine).

In another embodiment of the invention, the fusion joints on the subject coat fusion proteins are designed so as to comprise an amino acid sequence that is a substrate for protease. By providing a coat fusion protein having such a fusion joint, the protein of interest may be conveniently derived from the coat protein fusion by using a suitable proteolytic enzyme. The proteolytic enzyme may contact the fusion protein either in vitro or in vivo.

The expression of the subject coat fusion proteins may be driven by any of a variety of promoters functional in the genome of the recombinant plant viral vector. In a preferred embodiment of the invention, the subject fusion proteins are expressed from plant viral subgenomic promoters using vectors as described in U.S. Patent 5,316,931.

Recombinant DNA technologies have allowed the life cycle of numerous plant RNA viruses to be extended artificially 35 through a DNA phase that facilitates manipulation of the viral genome. These techniques may be applied by the person ordinary skill in the art in order make and use recombinant

plant viruses of the invention. The entire cDNA of the TMV genome was cloned and functionally joined to a bacterial promoter in an *E. coli* plasmid (Dawson et al., 1986). Infectious recombinant plant viral RNA transcripts may also be

- 5 produced using other well known techniques, for example, with the commercially available RNA polymerases from T7, T3 or SP6. Precise replicas of the virion RNA can be produced in vitro with RNA polymerase and dinucleotide cap, m7GpppG. This not only allows manipulation of the viral genome for reverse
- 10 genetics, but it also allows manipulation of the virus into a vector to express foreign genes. A method of producing plant RNA virus vectors based on manipulating RNA fragments with RNA ligase has proved to be impractical and is not widely used (Pelcher, L.E., 1982). Detailed information on how to make
- other places in U.S. patent 5,316,931 (Donson et al.), which is herein incorporated by reference. The invention provides for polynucleotide encoding recombinant RNA plant vectors for the expression of the subject fusion proteins. The invention
- 20 also provides for polynucleotides comprising a portion or portions of the subject vectors. The vectors described in U.S. Patent 5,316,931 are particularly preferred for expressing the fusion proteins of the invention.

In addition to providing the described viral coat

25 fusion proteins, the invention also provides for virus
particles that comprise the subject fusion proteins. The coat
of the virus particles of the invention may consist entirely
of coat fusion protein. In another embodiment of the virus
particles of the invention, the virus particle coat may

- 30 consist of a mixture of coat fusion proteins and non-fusion coat protein, wherein the ratio of the two proteins may be varied. As tobamovirus coat proteins may self-assemble into virus particles, the virus particles of the invention may be assembled either <u>in vivo</u> or <u>in vitro</u>. The virus particles may
- 35 also be conveniently dissassembled using well known techniques so as to simplify the purification of the subject fusion proteins, or portions thereof.

The invention also provides for recombinant plant cells comprising the subject coat fusion proteins and/or virus particles comprising the subject coat fusion proteins. These plant cells may be produced either by infecting plant cells (either in culture or in whole plants) with infections virus particles of the invention or with polynucleotides encoding the genomes of the infectious virus particle of the invention. The recombinant plant cells of the invention having many uses. Such uses include serving as a source for the fusion coat proteins of the invention.

The protein of interest portion of the subject
fusion proteins may comprise many different amino acid residue
sequences, and accordingly may different possible
biological/chemical properties however, in a preferred

15 embodiment of the invention the protein of interest portion of
the fusion protein is useful as a vaccine antigen. The
surface of TMV particles and other tobamoviruses contain
continuous epitopes of high antigenicity and segmental
mobility thereby making TMV particles especially useful in
20 producing a desired immune response. These properties make
the virus particles of the invention especially useful as
carriers in the presentation of foreign epitopes to mammalian
immune systems.

While the recombinant RNA viruses of the invention may be used to produce numerous coat fusion proteins for use as vaccine antigens or vaccine antigen precursors, it is of particular interest to provide vaccines against malaria. Human malaria is caused by the protozoan species Plasmodium falciparum, P. vivax, P. ovale and P. malariae and is transmitted in the sporozoite form by Anopheles mosquitos. Control of this disease will likely require safe and stable vaccines. Several peptide epitopes expressed during various stages of the parasite life cycle are thought to contribute to the induction of protective immunity in partially resistant individuals living in endemic areas and in individuals experimentally immunized with irradiated sporozoites.

When the fusion proteins of the invention, portions thereof, or viral particles comprising the fusion proteins are used in vivo, the proteins are typically administered in a composition comprising a pharmaceutical carrier. A

- 5 pharmaceutical carrier can be any compatible, non-toxic substance suitable for delivery of the desired compounds to the body. Sterile water, alcohol, fats, waxes and inert solids may be included in the carrier. Pharmaceutically accepted adjuvants (buffering agents, dispersing agent) may
- 10 also be incorporated into the pharmaceutical composition.

  Additionally, when the subject fusion proteins, or portion thereof, are to be used for the generation of an immune response, protective or otherwise, formulation for administration may comprise one or immunological adjuvants in order to stimulate a desired immune response.

When the fusion proteins of the invention, or portions thereof, are used in vivo, they may be administered to a subject, human or animal, in a variety of ways. The pharmaceutical compositions may be administered orally or

- 20 parenterally, i.e., subcutaneously, intramuscularly or intravenously. Thus, this invention provides compositions for parenteral administration which comprise a solution of the fusion protein (or derivative thereof) or a cocktail thereof dissolved in an acceptable carrier, preferably an aqueous
- 25 carrier. A variety of aqueous carriers can be used, e.g., water, buffered water, 0.4% saline, 0.3% glycerine and the like. These solutions are sterile and generally free of particulate matter. These compositions may be sterilized by conventional, well known sterilization techniques. The
- 30 compositions may contain pharmaceutically acceptable auxiliary substances as required to approximate physiological conditions such as pH adjusting and buffering agents, toxicity adjusting agents and the like, for example sodium acetate, sodium chloride, potassium chloride, calcium chloride, sodium
- 35 lactate, etc. The concentration of fusion protein (or portion thereof) in these formulations can vary widely depending on the specific amino acid sequence of the subject proteins and

the desired biological activity, e.g., from less than about 0.5%, usually at or at least about 1% to as much as 15 or 20% by weight and will be selected primarily based on fluid volumes, viscosities, etc., in accordance with the particular mode of administration selected.

Actual methods for preparing parenterally administrable compositions and adjustments necessary for administration to subjects will be known or apparent to those skilled in the art and are described in more detail in, for example, Remington's Pharmaceutical Science, current edition, Mack Publishing Company, Easton, Pa, which is incorporated herein by reference.

The invention having been described above, may be better understood by reference to the following examples. The examples are offered by way of illustration and are not intended to be interpreted as limitations on the scope of the invention.

### EXAMPLES

### 20 Biological Deposits

T 1 1 T T

The following present examples are based on a full length insert of wild type TMV (U1 strain) cloned in the vector pUC18 with a T7 promoter sequence at the 5'-end and a KpnI site at the 3'-end (pSNC004, Figure 2) or a similar plasmid pTMV304.

- 25 Using the polymerase chain reaction (PCR) technique and primers WD29 (SEQ ID NO: 1) and D1094 (SEQ ID NO: 2) a 277 XmaI/HindIII amplification product was inserted with the 6140 bp XmaI/KpnI fragment from pTMV304 between the KpnI and HindIII sites of the common cloning vector pUC18 to create
- 30 pSNC004. The plasmid pTMV304 is available from the American Type Culture Collection, Rockville, Maryland (ATCC deposit 45138). The genome of the wild type TMV strain can be synthesized from pTMV304 using the SP6 polymerase, or from pSNC004 using the T7 polymerase. The wild type TMV strain can
- 35 also be obtained from the American Type Culture Collection, Rockville, Maryland (ATCC deposit No. PV135). The plasmid pBGC152, Kumagai, M., et al., (1993), is a derivative of

pTMV304 and is used only as a cloning intermediate in the examples described below. The construction of each plasmid vector described in the examples below is diagrammed in Figure 3.

5

### Example 1.

Propagation and purification of the U1 strain of TMV The TMVCP fusion vectors described in the following examples are based on the U1 or wild type TMV strain and are 10 therefore compared to the parental virus as a control. Nicotiana tabacum cv Xanthi (hereafter referred to as tobacco) was grown 4-6 weeks after germination, and two 4-8 cm expanded leaves were inoculated with a solution of 50  $\mu g/ml$  TMV U1 by pipetting 100  $\mu$ l onto carborundum dusted leaves and lightly 15 abrading the surface with a gloved hand. Six tobacco plants were grown for 27 days post inoculation accumulating 177 g fresh weight of harvested leaf biomass not including the two lower inoculated leaves. Purified TMV U1 Sample ID No. TMV204.B4 was recovered (745 mg) at a yield of 4.2 mg of 20 virion per gram of fresh weight by two cycles of differential centrifugation and precipitation with PEG according to the method of Gooding et al. (1967). Tobacco plants infected with TMV U1 accumulated greater than 230 micromoles of coat protein per kilogram of leaf tissue.

25

### Example 2.

# Production of a malarial B-cell epitope genetically fused to the surface loop region of the TMVCP

30 The monoclonal antibody NVS3 was made by immunizing a mouse with irradiated P. vivax sporozoites. NVS3 mAb passively transferred to monkeys provided protective immunity to sporozoite infection with this human parasite. Using the technique of epitope-scanning with synthetic peptides, the exact amino acid sequence present on the P. vivax sporozoite surface and recognized by NVS3 was defined as AGDR (Seq ID No. P1). The epitope AGDR is contained within a repeating unit of

the circumsporozoite (CS) protein (Charoenvit et al., 1991a), the major immunodominant protein coating the sporozoite. Construction of a genetically modified tobamovirus designed to carry this malarial B-cell epitope fused to the surface of virus particles is set forth herein.

Construction of plasmid pBGC291. The 2.1 kb EcoRI-PstI fragment from pTMV204 described in Dawson, W., et al. (1986) was cloned into pBstSK- (Stratagene Cloning Systems) to form pBGC11. A 0.27 kb fragment of pBGC11 was PCR amplified using the 5' primer TB2ClaI5' (SEQ ID NO: 3) and the 3' primer CP.ME2+ (SEQ ID NO: 4). The 0.27 kb amplified product was used as the 5' primer and C/OAvrII (SEQ ID NO: 5) was the 3' primer for PCR amplification. The amplified product was cloned into the SmaI site of pBstKS+ (Stratagene Cloning 15 Systems) to form pBGC243.

To eliminate the BstXI and SacII sites from the polylinker, pBGC234 was formed by digesting pBstKS+ (Stratagene Cloning Systems) with BstXI followed by treatment with T4 DNA Polymerase and self-ligation. The 1.3 kb

20 HindIII-KpnI fragment of pBGC304 was cloned into pBGC234 to form pBGC235. pBGC304 is also named pTMV304 (ATCC deposit

form pBGC235. pBGC304 is also named pTMV304 (ATCC deposit 45138).

The 0.3 kb PacI-AccI fragment of pBGC243 was cloned into pBGC235 to form pBGC244. The 0.02 kb polylinker fragment of pBGC243 (SmaI-EcoRV) was removed to form pBGC280. A 0.02 kb synthetic PstI fragment encoding the P. vivax AGDR repeat was formed by annealing AGDR3p (SEQ ID NO: 6) with AGDR3m (SEQ ID NO: 7) and the resulting double stranded fragment was cloned into pBGC280 to form pBGC282. The 1.0 kb NcoI-KpnI fragment of pBGC282 was cloned into pSNC004 to form pBGC291.

The coat protein sequence of the virus TMV291 produced by transcription of plasmid pBGC291 in vitro is listed in (SEQ ID NO: 16) The epitope (AGDR)3 is calculated to be approximately 6.2% of the weight of the virion.

Propagation and purification of the epitope expression vector. Infectious transcripts were synthesized from KpnI-linearized pBGC291 using T7 RNA polymerase and cap

(7mGpppG) according to the manufacturer (New England Biolabs).

An increased quantity of recombinant virus was obtained by passaging and purifying Sample ID No. TMV291.1B1 as described in example 1. Twenty tobacco plants were grown for 5 29 days post inoculation, accumulating 1060 g fresh weight of harvested leaf biomass not including the two lower inoculated leaves. Purified Sample ID TMV291.1B2 was recovered (474 mg) at a yield of 0.4 mg virion per gram of fresh weight. Therefore, 25 µg of 12-mer peptide was obtained per gram of fresh weight extracted. Tobacco plants infected with TMV291 accumulated greater than 21 micromoles of peptide per kilogram of leaf tissue.

Product analysis. The conformation of the epitope AGDR contained in the virus TMV291 is specifically recognized by the monoclonal antibody NVS3 in ELISA assays (Figure 4). By Western blot analysis, NVS3 cross-reacted only with the TMV291 cp fusion at 18.6 kD and did not cross-react with the wild type or cp fusion present in TMV261. The genomic sequence of the epitope coding region was confirmed by directly sequencing viral RNA extracted from Sample ID No. TMV291.1B2.

### Example 3.

Production of a malarial B-cell epitope genetically fused
25 to the C terminus of the TMVCP

Significant progress has been made in designing effective subunit vaccines using rodent models of malarial disease caused by nonhuman pathogens such as P. yoelii or P. berghei. The monoclonal antibody NYS1 recognizes the repeating epitope

- 30 QGPGAP (SEQ ID NO: 18), present on the CS protein of P. yoelii, and provides a very high level of immunity to sporozoite challenge when passively transferred to mice (Charoenvit, Y., et al. 1991b). Construction of a genetically modified tobamovirus designed to carry this malarial B-cell
- 35 epitope fused to the surface of virus particles is set forth herein.

Construction of plasmid pBGC261. A 0.5 kb fragment of pBGC11, was PCR amplified using the 5' primer TB2ClaI5' (SEQ ID NO: 3) and the 3' primer C/OAVrII (SEQ ID NO: 5). The amplified product was cloned into the SmaI site of pBstKS+ 5 (Stratagene Cloning Systems) to form pBGC218.

pBGC219 was formed by cloning the 0.15 kb AccI-NsiI fragment of pBGC218 into pBGC235. A 0.05 kb synthetic AvrII fragment was formed by annealing PYCS.1p (SEQ ID NO: 8) with PYCS.1m (SEQ ID NO: 9) and the resulting double stranded

10 fragment, encoding the leaky-stop signal and the P. yoelii B-cell malarial epitope, was cloned into the AvrII site of pBGC219 to form pBGC221. The 1.0 kb NcoI-KpnI fragment of pBGC221 was cloned into pBGC152 to form pBGC261.

The virus TMV261, produced by transcription of plasmid

15 pBGC261 in vitro, contains a leaky stop signal at the C
terminus of the coat protein gene and is therefore predicted
to synthesize wild type and recombinant coat proteins at a
ratio of 20:1. The recombinant TMVCP fusion synthesized by
TMV261 is listed in (SEQ ID NO: 19) with the stop codon

20 decoded as the amino acid Y (amino acid residue 160). The wild type sequence, synthesized by the same virus, is listed in (SEQ ID NO: 21). The epitope (QGPGAP)2 is calculated to be present at 0.3% of the weight of the virion.

Propagation and purification of the epitope expression

25 vector. Infectious transcripts were synthesized from

KpnI-linearized pBGC261 using SP6 RNA polymerase and cap

(7mGpppG) according to the manufacturer (Gibco/BRL Life

Technologies).

An increased quantity of recombinant virus was obtained 30 by passaging and purifying Sample ID No. TMV261.Blb as described in example 1. Six tobacco plants were grown for 27 days post inoculation, accumulating 205 g fresh weight of harvested leaf biomass not including the two lower inoculated leaves. Purified Sample ID No. TMV261.1B2 was recovered (252 35 mg) at a yield of 1.2 mg virion per gram of fresh weight.

Therefore, 4  $\mu$ g of 12-mer peptide was obtained per gram of fresh weight extracted. Tobacco plants infected with TMV261

accumulated greater than 3.9 micromoles of peptide per kilogram of leaf tissue.

Product analysis. The content of the epitope QGPGAP in the virus TMV261 was determined by ELISA with monoclonal antibody NYS1 (Figure 5). From the titration curve, 50 ug/ml of TMV261 gave the same 0.D. reading (1.0) as 0.2 ug/ml of (QGPGAP)2. The measured value of approximately 0.4% of the weight of the virion as epitope is in good agreement with the calculated value of 0.3%. By Western blot analysis, NYS1 cross-reacted only with the TMV261 cp fusion at 19 kD and did not cross-react with the wild type cp or cp fusion present in TMV291. The genomic sequence of the epitope coding region was confirmed by directly sequencing viral RNA extracted from Sample ID. No. TMV261.1B2.

Example 4.

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Production of a malarial CTL epitope genetically fused to the C terminus of the TMVCP

Malarial immunity induced in mice by irradiated

20 sporozoites of P. yoelii is also dependent on CD8+ T
lymphocytes. Clone B is one cytotoxic T lymphocyte (CTL) cell
clone shown to recognize an epitope present in both the P.
yoelii and P. berghei CS proteins. Clone B recognizes the
following amino acid sequence; SYVPSAEQILEFVKQISSQ (SEQ ID NO:

- 25 23) and when adoptively transferred to mice protects against infection from both species of malaria sporozoites (Weiss et al., 1992). Construction of a genetically modified tobamovirus designed to carry this malarial CTL epitope fused to the surface of virus particles is set forth herein.
- Construction of plasmid pBGC289. A 0.5 kb fragment of pBGC11 was PCR amplified using the 5' primer TB2ClaI5' (SEQ ID NO: 3) and the 3' primer C/-5AvrII (SEQ ID NO: 10). The amplified product was cloned into the SmaI site of pBstKS+ (Stratagene Cloning Systems) to form pBGC214.
- pBGC215 was formed by cloning the 0.15 kb AccI-NsiI fragment of pBGC214 into pBGC235. The 0.9 kb NcoI-KpnI fragment from pBGC215 was cloned into pBGC152 to form pBGC216.

A 0.07 kb synthetic fragment was formed by annealing PYCS.2p (SEQ ID NO: 11) with PYCS.2m (SEQ ID NO: 12) and the resulting double stranded fragment, encoding the P. yoelii CTL malarial epitope, was cloned into the AvrII site of pBGC215 made blunt ended by treatment with mung bean nuclease and creating a unique AatII site, to form pBGC262. A 0.03 kb synthetic AatII fragment was formed by annealing TLS.1EXP (SEQ ID NO: 13) with TLS.1EXM (SEQ ID NO: 14) and the resulting double stranded fragment, encoding the leaky-stop sequence and a stuffer sequence used to facilitate cloning, was cloned into AatII digested pBGC262 to form pBGC263. pBGC262 was digested with AatII and ligated to itself removing the 0.02 kb stuffer fragment to form pBGC264. The 1.0 kb NcoI-KpnI fragment of pBGC264 was cloned into pSNC004 to form pBGC289.

- The virus TMV289 produced by transcription of plasmid pBGC289 in vitro, contains a leaky stop signal resulting in the removal of four amino acids from the C terminus of the wild type TMV coat protein gene and is therefore predicted to synthesize a truncated coat protein and a coat protein with a
- 20 CTL epitope fused at the C terminus at a ratio of 20:1. The recombinant TMVCP/CTL epitope fusion present in TMV289 is listed in SEQ ID NO: 25 with the stop codon decoded as the amino acid Y (amino acid residue 156). The wild type sequence minus four amino acids from the C terminus is listed
- of virus TMV216 produced by transcription of the plasmid pBGC216 in vitro, is also truncated by four amino acids. The epitope SYVPSAEQILEFVKQISSQ (SEQ ID NO:23) is calculated to be present at approximately 0.5% of the weight of the virion
- 30 using the same assumptions confirmed by quantitative ELISA analysis of the readthrough properties of TMV261 in example 3.

Propagation and purification of the epitope expression vector. Infectious transcripts were synthesized from KpnI-linearized pBGC289 using T7 RNA polymerase and cap (7mGpppG) according to the manufacturer (New England Biolabs).

An increased quantity of recombinant virus was obtained by passaging Sample ID No. TMV289.11B1a as described in

example 1. Fifteen tobacco plants were grown for 33 days post inoculation accumulating 595 g fresh weight of harvested leaf biomass not including the two lower inoculated leaves.

Purified Sample ID. No. TMV289.11B2 was recovered (383 mg) at

- 5 a yield of 0.6 mg virion per gram of fresh weight. Therefore, 3  $\mu$ g of 19-mer peptide was obtained per gram of fresh weight extracted. Tobacco plants infected with TMV289 accumulated greater than 1.4 micromoles of peptide per kilogram of leaf tissue.
- of the epitope coding region of TMV289 was obtained by restriction digestion analysis of PCR amplified cDNA using viral RNA isolated from Sample ID. No. TMV289.11B2. The presence of proteins in TMV289 with the predicted mobility of
- 15 the cp fusion at 20 kD and the truncated cp at 17.1 kD was confirmed by denaturing polyacrylamide gel electrophoresis.

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### Incorporation by Reference

All patents, patents applications, and publications cited 35 are incorporated herein by reference.

### Equivalents

The foregoing written specification is considered to be sufficient to enable one skilled in the art to practice the invention. Indeed, various modifications of the above5 described makes for carrying out the invention which are obvious to those skilled in the field of molecular biology or related fields are intended to be within the scope of the following claims.

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### SEQUENCE LISTING

- (1) GENERAL INFORMATION:
  - (i) APPLICANT: Turpen, Thomas H.
    Reinl, Stephen
    Grill, Laurence K.
  - (ii) TITLE OF INVENTION: Production of Peptides in Plants as Viral Coat Protein Fusions
  - (iii) NUMBER OF SEQUENCES: 27
  - (iv) CORRESPONDENCE ADDRESS:
    - (A) ADDRESSEE: Pennie & Edmonds
    - (B) STREET: 1155 Avenue of the Americas
    - (C) CITY: New York
    - (D) STATE: New York
    - (E) COUNTRY: USA
    - (F) ZIP: 10036
  - (v) COMPUTER READABLE FORM:
    - (A) MEDIUM TYPE: Floppy disk
    - (B) COMPUTER: IBM PC compatible
    - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
    - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
  - (vi) CURRENT APPLICATION DATA:
    - (A) APPLICATION NUMBER: US To be assigned
    - (B) FILING DATE: 14-OCT-1994
    - (C) CLASSIFICATION:
  - (viii) ATTORNEY/AGENT INFORMATION:
    - (A) NAME: Halluin, Albert P.
    - (B) REGISTRATION NUMBER: 25,227
    - (C) REFERENCE/DOCKET NUMBER: 8129-087
    - (ix) TELECOMMUNICATION INFORMATION:
      - (A) TELEPHONE: 415-854-3660
      - (B) TELEFAX: 415-854-3694
      - (C) TELEX: 66141 PENNIE
- (2) INFORMATION FOR SEQ ID NO:1:
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    - (D) TOPOLOGY: unknown
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- (2) INFORMATION FOR SEQ ID NO:2:
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(ii) MOLECULE TYPE: peptide	
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<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 510 base pairs</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: unknown</li> <li>(D) TOPOLOGY: unknown</li> </ul>	
(ii) MOLECULE TYPE: DNA (genomic)	
<pre>(vi) ORIGINAL SOURCE:     (A) ORGANISM: pBGC291 Fusion</pre>	
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GCG TGG GCC GAC CCA ATA GAG TTA ATT AAT TTA TGT ACT AAT GCC TTA Ala Trp Ala Asp Pro Ile Glu Leu Ile Asn Leu Cys Thr Asn Ala Leu 20 25 30	96

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TTC Phe	AGT Ser 50	GAG Glu	GTG <sup>.</sup> Val	TGG Trp	AAA Lys	CCT Pro 55	TCA Ser	CCA Pro	CAA Gln	GTA Val	ACT Thr 60	GTT Val	AGG Arg	TTC Phe	CCT Pro	192
														AAG Lys		240
TAC Tyr	AGG Arg	TAC Tyr	AAT Asn	GCG Ala 85	GTA Val	TTA Leu	GAC Asp	CCG Pro	CTA Leu 90	GTC Val	ACA Thr	GCA Ala	CTG Leu	TTA Leu 95	GGT Gly	288
GCA Ala	TTC Phe	GAC Asp	ACT Thr 100	AGA Arg	AAT Asn	AGA Arg	ATA Ile	ATA Ile 105	GAA Glu	GTT Val	GAA Glu	AAT Asn	CAG Gln 110	GCG Ala	AAC Asn	336
CCC Pro	ACG Thr	ACT- Thr 115	GCC Ala	GAA Glu	ACG Thr	TTA Leu	GAT Asp 120	GCT Ala	ACT Thr	CGT Arg	AGA Arg	GTA Val 125	GAC Asp	GAC Asp	GCA Ala	384
ACG Thr	GTG Val 130	GCC Ala	ATA Ile	AGG Arg	AGC Ser	GCG Ala 135	ATA Ile	AAT Asn	AAT Asn	TTA Leu	ATA Ile 140	GTA Val	GAA Glu	TTG Leu	ATC Ile	432
AGA Arg 145	GGA Gly	ACC Thr	GGA Gly	TCT Ser	TAT Tyr 150	AAT Asn	CGG Arg	AGC Ser	TCT Ser	TTC Phe 155	GAG Glu	AGC Ser	TCT Ser	TCT Ser	GGT Gly 160	480
	GTT Val								TGA 170							510

- (2) INFORMATION FOR SEQ ID NO:17:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 169 amino acids
      (B) TYPE: amino acid

    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: protein
  - (vi) ORIGINAL SOURCE:
    - (A) ORGANISM: pBGC291 Fusion
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:17:

Met Ser Tyr Ser Ile Thr Thr Pro Ser Gln Phe Val Phe Leu Ser Ser

Ala Trp Ala Asp Pro Ile Glu Leu Ile Asn Leu Cys Thr Asn Ala Leu

Gly Asn Gln Phe Gln Thr Gln Gln Ala Arg Thr Val Val Gln Arg Gln

Phe Ser Glu Val Trp Lys Pro Ser Pro Gln Val Thr Val Arg Phe Pro

Ala Gly Asp Arg Ala Gly Asp Arg Ala Gly Asp Arg Asp Phe Lys Val 65 70 75 80

Tyr Arg Tyr Asn Ala Val Leu Asp Pro Leu Val Thr Ala Leu Leu Gly
. 85 90 95

Ala Phe Asp Thr Arg Asn Arg Ile Ile Glu Val Glu Asn Gln Ala Asn 100 105 110

Pro Thr Thr Ala Glu Thr Leu Asp Ala Thr Arg Arg Val Asp Asp Ala 115 120 125

Thr Val Ala Ile Arg Ser Ala Ile Asn Asn Leu Ile Val Glu Leu Ile 130 135 140

Arg Gly Thr Gly Ser Tyr Asn Arg Ser Ser Phe Glu Ser Ser Ser Gly 145 150 155 160

Leu Val Trp Thr Ser Gly Pro Ala Thr 165

- (2) INFORMATION FOR SEQ ID NO:18:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 6 amino acids
    - (B) TYPE: amino acid
    - (C) STRANDEDNESS: unknown
    - (D) TOPOLOGY: unknown
  - (ii) MOLECULE TYPE: peptide
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:18:

Gln Gly Pro Gly Ala Pro

- (2) INFORMATION FOR SEQ ID NO:19:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 525 base pairs
    - (B) TYPE: nucleic acid
    - (C) STRANDEDNESS: unknown
    - (D) TOPOLOGY: unknown
  - (ii) MOLECULE TYPE: DNA (genomic)
  - (vi) ORIGINAL SOURCE:
    - (A) ORGANISM: pBGC261 Leaky Stop
  - (ix) FEATURE:
    - (A) NAME/KEY: CDS
    - (B) LOCATION: 1..525
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:19:

ATG TCT TAC AGT ATC ACT ACT CCA TCT CAG TTC GTG TTC TTG TCA TCA
Met Ser Tyr Ser Ile Thr Thr Pro Ser Gln Phe Val Phe Leu Ser Ser

1 10 15

TGG Trp										96
								CAA Gln	1	.44
AGT Ser 50									1.	.92
AGT Ser									2	40
ACA Thr									2	88
GAA Glu									3	36
AGA Arg									3	84
ATA Ile 130									4	32
GAG Glu									4	80
TTA Leu							TA 175		5	25

- (2) INFORMATION FOR SEQ ID NO:20:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 174 amino acids
      (B) TYPE: amino acid

    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: protein
  - (vi) ORIGINAL SOURCE:
    - (A) ORGANISM: pBGC261 Leaky Stop
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:

Met Ser Tyr Ser Ile Thr Thr Pro Ser Gln Phe Val Phe Leu Ser Ser

Ala Trp Ala Asp Pro Ile Glu Leu Ile Asn Leu Cys Thr Asn Ala Leu

Gly Asn Gln Phe Gln Thr Gln Gln Ala Arg Thr Val Val Gln Arg Gln 35 40

Phe	Ser 50	Glu	Val	Trp	Lys	Pro 55	Ser	Pro	Gln	Val	Thr 60	Val	Arg	Phe	Pro
Asp 65	Ser	Asp	Phe .	Lys	Val 70	Tyr	Arg	Tyr	Asn	Ala 75	Val	Leu	Asp	Pro	Leu 80
Val	Thr	Ala	Leu	Leu 85	Gly	Ala	Phe	Asp	Thr 90	Arg	Asn	Arg	Ile	Ile 95	Glu
Val	Glu	Asn	Gln 100	Ala	Asn	Pro	Thr	Thr 105	Ala	Glu	Thr	Leu	Asp 110	Ala	Thr
Arg	Arg	Val 115	Asp	Asp	Ala	Thr	Val 120	Ala	Ile	Arg	Ser	Ala 125	Ile	Asn	Asn
Leu	Ile 130	Val	Glu	Leu	Ile	Arg 135	Gly	Thr	Gly	Ser	Tyr 140	Asn	Arg	Ser	Ser
Phe 145	Glu	Ser	Ser	Ser	Gly 150	Leu	Val	Trp	Thr	Ser 155	Gly	Pro	Ala	Thr	Tyr 160
Gln	Leu	Gln	Gly	Pro 165	Gly	Ala	Pro	Gln	Gly 170	Pro	Gly	Ala	Pro		
(2)	INFO	RMAT	NOIT	FOR	SEQ	ID 1	10:21	.:							
	(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 480 base pairs														

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- (B) TYPE: nucleic acid
  (C) STRANDEDNESS: unknown
  (D) TOPOLOGY: unknown
- (ii) MOLECULE TYPE: DNA (genomic)
- (vi) ORIGINAL SOURCE:
  - (A) ORGANISM: pBGC261 Non-fusion
- (ix) FEATURE:

  - (A) NAME/KEY: CDS (B) LOCATION: 1..480
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:21:

TCT Ser								48
TGG Trp								96
AAT Asn								144
AGT Ser 50								192
AGT Ser								240

65					70					75					80	
		GCA Ala														288
GTT Val	GAA Glu	AAT Asn	CAG Gln 100	GCG Ala	AAC Asn	CCC Pro	ACG Thr	ACT Thr 105	GCC Ala	GAA Glu	ACG Thr	TTA Leu	GAT Asp 110	GCT Ala	ACT Thr	336
		GTA Val 115														384
		GTA Val														432
TTC Phe 145	GAG Glu	AGC Ser	TCT Ser	TCT Ser	GGT Gly 150	TTG Leu	GTT Val	TGG Trp	ACC Thr	TCT Ser 155	GGT Gly	CCT Pro	GCA Ala	ACC Thr	TA 160	480
(2)	INF	ORMA!	rion	FOR	SEQ	ID 1	NO:2	2:								
		(i) :	(A	ENCE	NGTH	: 159	9 am:	ino a		s						

- (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: protein
- ORIGINAL SOURCE:
  - (A) ORGANISM: pBGC261 Non-fusion
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:22:

Met Ser Tyr Ser Ile Thr Thr Pro Ser Gln Phe Val Phe Leu Ser Ser

Ala Trp Ala Asp Pro Ile Glu Leu Ile Asn Leu Cys Thr Asn Ala Leu

Gly Asn Gln Phe Gln Thr Gln Gln Ala Arg Thr Val Val Gln Arg Gln

Phe Ser Glu Val Trp Lys Pro Ser Pro Gln Val Thr Val Arg Phe Pro

Asp Ser Asp Phe Lys Val Tyr Arg Tyr Asn Ala Val Leu Asp Pro Leu

Val Thr Ala Leu Leu Gly Ala Phe Asp Thr Arg Asn Arg Ile Ile Glu

Val Glu Asn Gln Ala Asn Pro Thr Thr Ala Glu Thr Leu Asp Ala Thr

Arg Arg Val Asp Asp Ala Thr Val Ala Ile Arg Ser Ala Ile Asn Asn 120

Leu Ile Val Glu Leu Ile Arg Gly Thr Gly Ser Tyr Asn Arg Ser Ser

Phe Glu Ser Ser Ser Gly Leu Val Trp Thr Ser Gly Pro Ala Thr

- (2) INFORMATION FOR SEQ ID NO:23:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 19 amino acids
    - (B) TYPE: amino acid
    - (C) STRANDEDNESS: unknown
    - (D) TOPOLOGY: unknown
  - (ii) MOLECULE TYPE: DNA (genomic)
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:23:

Ser Tyr Val Pro Ser Ala Glu Gln Ile Leu Glu Phe Val Lys Gln Ile 15

Ser Ser Gln

- (2) INFORMATION FOR SEQ ID NO:24:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 537 base pairs (B) TYPE: nucleic acid

    - (C) STRANDEDNESS: unknown
    - (D) TOPOLOGY: unknown
  - (ii) MOLECULE TYPE: DNA (genomic)
  - (vi) ORIGINAL SOURCE:
    - (A) ORGANISM: pBGC289 Leaky Stop
  - (ix) FEATURE:
    - (A) NAME/KEY: CDS
    - (B) LOCATION: 1..537
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:24:

	 	 	ACT Thr	 	 	 	 	 	48
	 	 	ATA Ile	 	 		 	 	96
			ACA Thr						144
			AAA Lys					 	192
			GTG Val 70						240

ACA Thr								288
GAA Glu								336
AGA Arg								384
ATA Ile 130								432
 GAG Glu								480
 GTT Val								528
CAG Gln	TAG							537

- (2) INFORMATION FOR SEQ ID NO:25:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 178 amino acids (B) TYPE: amino acid

    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: protein
  - (vi) ORIGINAL SOURCE:
    - (A) ORGANISM: pBGC289 Leaky Stop
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:25:

Met Ser Tyr Ser Ile Thr Thr Pro Ser Gln Phe Val Phe Leu Ser Ser

Ala Trp Ala-Asp Pro Ile Glu Leu Ile Asn Leu Cys Thr Asn Ala Leu

Gly Asn Gln Phe Gln Thr Gln Gln Ala Arg Thr Val Val Gln Arg Gln

Phe Ser Glu Val Trp Lys Pro Ser Pro Gln Val Thr Val Arg Phe Pro

Asp Ser Asp Phe Lys Val Tyr Arg Tyr Asn Ala Val Leu Asp Pro Leu 65 70 75 80

Val Thr Ala Leu Leu Gly Ala Phe Asp Thr Arg Asn Arg Ile Ile Glu

Val Glu Asn Gln Ala Asn Pro Thr Thr Ala Glu Thr Leu Asp Ala Thr

Arg Arg Val Asp Asp Ala Thr Val Ala Ile Arg Ser Ala Ile Asn Asn 115

Leu Ile Val Glu Leu Ile Arg Gly Thr Gly Ser Tyr Asn Arg Ser Ser 135

Phe Glu Ser Ser Ser Gly Leu Val Trp Thr Ser Tyr Gln Leu Thr Ser

Tyr Val Pro Ser Ala Glu Gln Ile Leu Glu Phe Val Lys Gln Ile Ser 170

Ser Gln

#### (2) INFORMATION FOR SEQ ID NO:26:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 468 base pairs

  - (B) TYPE: nucleic acid (C) STRANDEDNESS: unknown
  - (D) TOPOLOGY: unknown
- (ii) MOLECULE TYPE: DNA (genomic)
- (vi) ORIGINAL SOURCE:
  - (A) ORGANISM: pBGC289 Non-fusion
- (ix) FEATURE:
  - (A) NAME/KEY: CDS

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- (B) LOCATION: 1..468
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:26:

													_	-	•	
48	TCA Ser	TCA Ser 15	TTG Leu	TTC Phe	GTG Val	TTC Phe	CAG Gln 10	TCT Ser	CCA Pro	ACT Thr	ACT Thr	ATC Ile 5	AGT Ser	TAC Tyr	TCT Ser	ATG Met 1
96 1	TTA Leu	GCC Ala	AAT Asn 30	ACT Thr	TGT Cys	TTA Leu	AAT Asn	ATT Ile 25	TTA Leu	GAG Glu	ATA Ile	CCA Pro	GAC Asp 20	GCC Ala	TGG Trp	GCG Ala
144	CAA Gln	AGA Arg	CAA Gln	GTT Val 45	GTC Val	ACT Thr	CGA Arg	GCT Ala	CAA Gln 40	CAA Gln	ACA Thr	CAA Gln	Phe	CAG Gln 35	AAT Asn	GGA Gly
192	CCT Pro	TTC Phe	AGG Arg	GTT Val	ACT Thr 60	GTA Val	CAA Gln	CCA Pro	TCA Ser	CCT Pro 55	AAA Lys	TGG Trp	GTG Val	GAG Glu	AGT Ser 50	TTC Phe
1	CTA Leu 80	CCG Pro	GAC Asp	TTA Leu	GTA Val	GCG Ala 75	AAT Asn	TAC Tyr	AGG Arg	TAC Tyr	GTG Val 70	AAG Lys	TTT Phe	GAC Asp	Ser	GAC Asp 65
A 288	GAA Glu	ATA Ile	ATA Ile	AGA Arg	AAT Asn	AGA Arg	ACT Thr	GAC Asp	TTC Phe	GCA Ala	GGT Gly	TTA Leu	CTG Leu	GCA Ala	ACA Thr	GTC Val

GTT GAA AAT CAG GCG AAC CCC ACG ACT GCC GAA ACG TTA GAT GCT ACT Val Glu Asn Gln Ala Asn Pro Thr Thr Ala Glu Thr Leu Asp Ala Thr

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												AAT Asn	384
												AGC Ser	432
				TCT Ser						TAG			468
(2)	INFO	RMAT	CION	FOR	SEQ	ID N	10:27	7:					

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 155 amino acids
  - (B) TYPE: amino acid
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: protein
- (vi) ORIGINAL SOURCE:
  - (A) ORGANISM: pBGC289 Non-fusion
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:27:

Met Ser Tyr Ser Ile Thr Thr Pro Ser Gln Phe Val Phe Leu Ser Ser 1 5 10 15

Ala Trp Ala Asp Pro Ile Glu Leu Ile Asn Leu Cys Thr Asn Ala Leu 20 25 30

Gly Asn Gln Phe Gln Thr Gln Gln Ala Arg Thr Val Val Gln Arg Gln 35 40 45

Phe Ser Glu Val Trp Lys Pro Ser Pro Gln Val Thr Val Arg Phe Pro 50 55 60

Asp Ser Asp Phe Lys Val Tyr Arg Tyr Asn Ala Val Leu Asp Pro Leu 65 70 75 80

Val Thr Ala Leu Leu Gly Ala Phe Asp Thr Arg Asn Arg Ile Ile Glu 85 90 95

Val Glu Asn Gln Ala Asn Pro Thr Thr Ala Glu Thr Leu Asp Ala Thr
\_100 105 110

Arg Arg Val Asp Asp Ala Thr Val Ala Ile Arg Ser Ala Ile Asn Asn 115 120 125

Leu Ile Val Glu Leu Ile Arg Gly Thr Gly Ser Tyr Asn Arg Ser Ser 130 135 140

Phe Glu Ser Ser Ser Gly Leu Val Trp Thr Ser 145 150 155

#### CLAIMS

What is claimed is:

- A polynulceotide encoding fusion protein, the fusion
   protein consisting essentially of a tobamovirus coat protein fused to a protein of interest at a fusion joint.
  - 2. A polynucleotide according to Claim 1, wherein the fusion is an amino terminus fusion.

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- 3. A polynucleotide according to Claim 1, wherein the fusion is a carboxy terminus fusion.
- 4. A polynucleotide according to Claim 1, wherein the 15 fusion is an internal fusion.
  - 5. A polynucleotide according to Claim 1, wherein the fusion joint comprises a leaky stop codon.
- 20 6. A polynucleotide according to Claim 1, wherein the fusion joint comprises a leaky start codon.
  - 7. A polynucleotide according to Claim 1, wherein the protein of interest is an antigen.

- 8. A polynucleotide according to claim 1, wherein the coat protein is a tobacco mosaic virus coat protein.
- A recombinant plant viral genome comprising a
   polynucleotide according to Claim 1.
  - 10. A recombinant plant virus particle, comprising a genome according to claim 9.
- 35 11. A polypeptide encoded by a polynucleotide according to Claim 1.

- 12. A recombinant plant virus, wherein the coat protein is encoded by a polynucleotide according to claim 1.
- 13. A plant cell comprising a polynucleotide according 5 to Claim 9.

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# PRODUCTION OF PEPTIDES IN PLANTS AS VIRAL COAT PROTEIN FUSIONS ABSTRACT

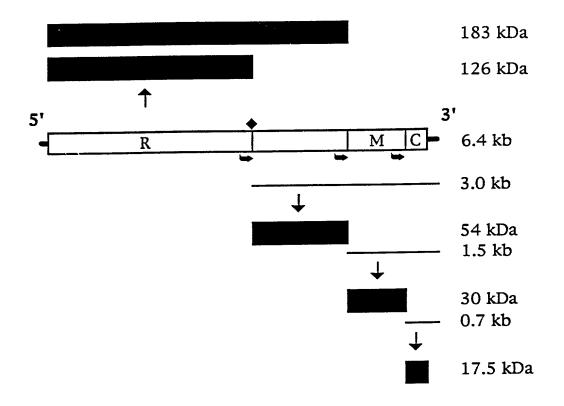
The present invention relates to foreign peptide sequences fused to recombinant plant viral structural proteins 5 and a method of their production. Fusion proteins are economically synthesized in plants at high levels by biologically contained tobamoviruses. The fusion proteins of the invention have many uses. Such uses include use as antigens for inducing the production of antibodies having 10 desired binding properties, e.g., protective antibodies, or for use as vaccine antigens for the induction of protective immunity, including immunity against parasitic infections.

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- ☐ Genomic RNA
- → Amber Stop Codon (Readthrough Site)
- → Subgenomic Promoter
- Subgenomic mRNA
- ↑ Translation
  - Viral Protein
- Terminal Noncoding Sequences
- R Replicase Proteins
- M Movement Protein
- C Capsid Protein
- 1 cm  $\approx$  0.6 kb

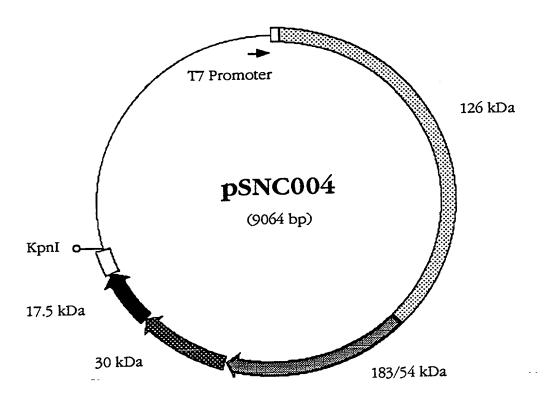


FIGURE 2

# Diagram of Plasmid Constructions

- A) Construction of pBGC291
- B) Construction of pBGC261
- C) Construction of pBGC289

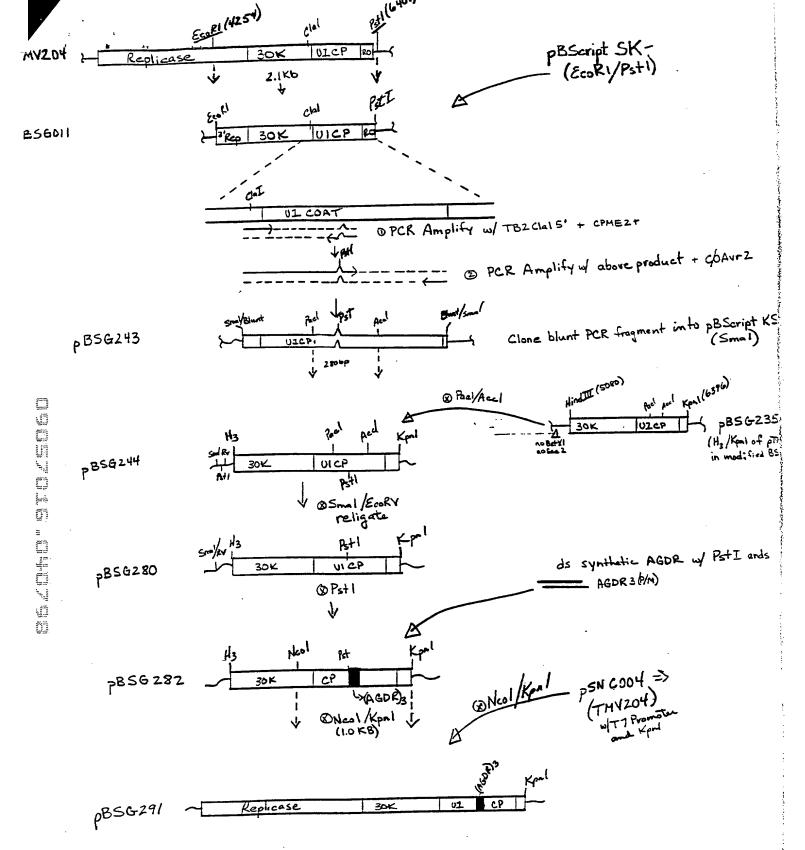


FIGURE 3A

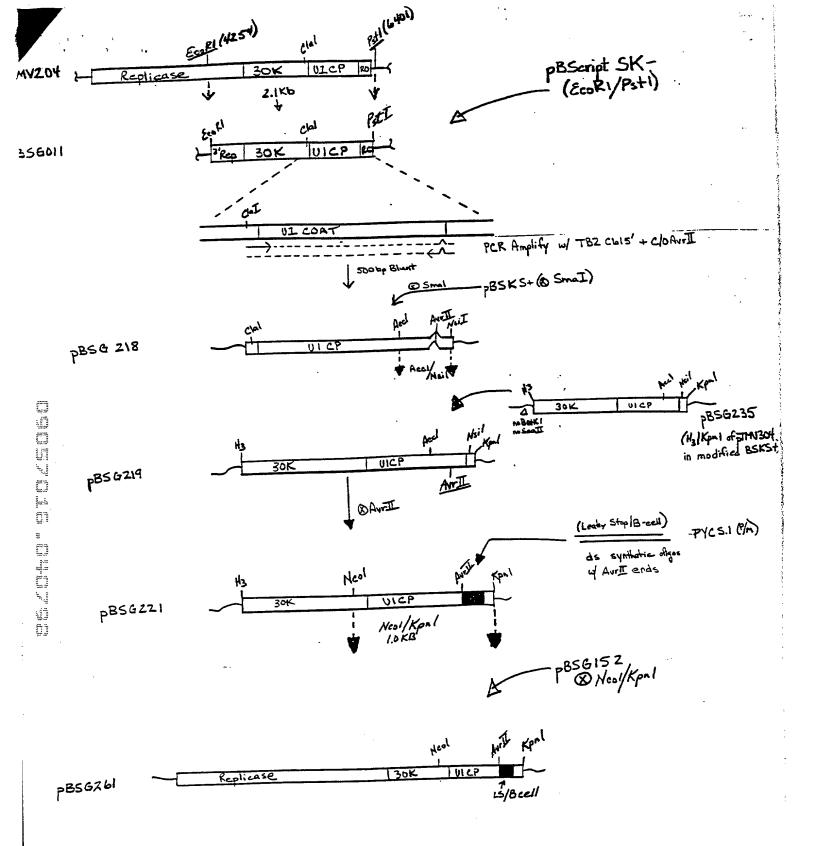
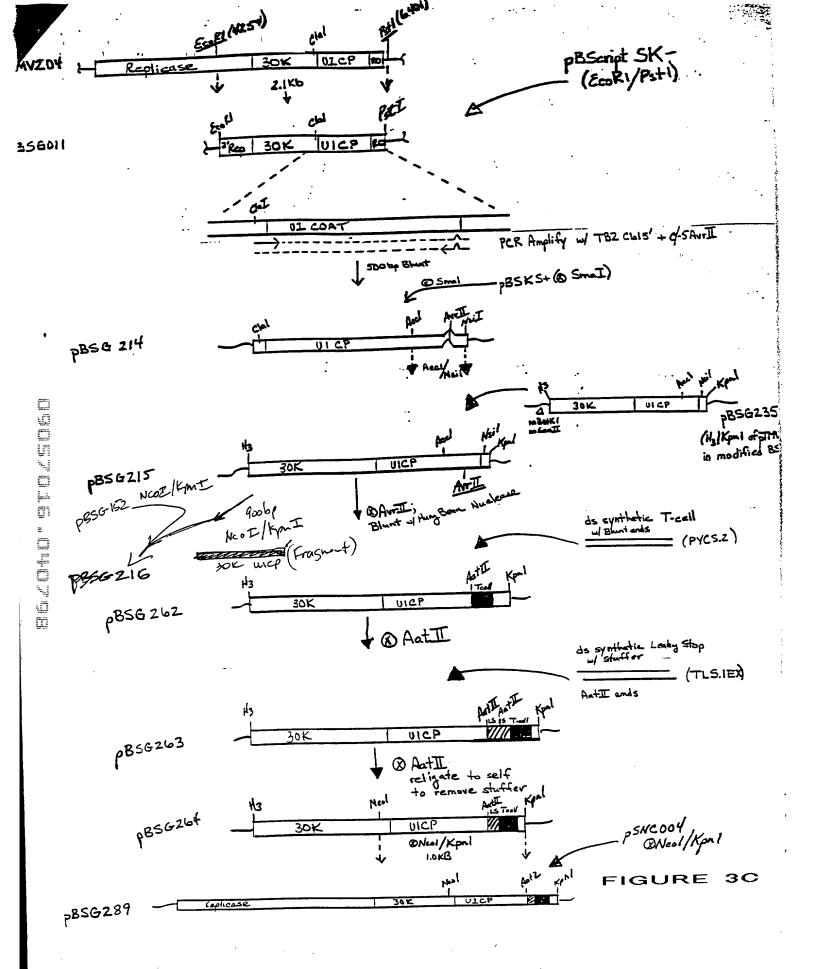


FIGURE 3B



# ELISA OF NUS3 AGRINST TMU291.1B2 AND (AGDR)6

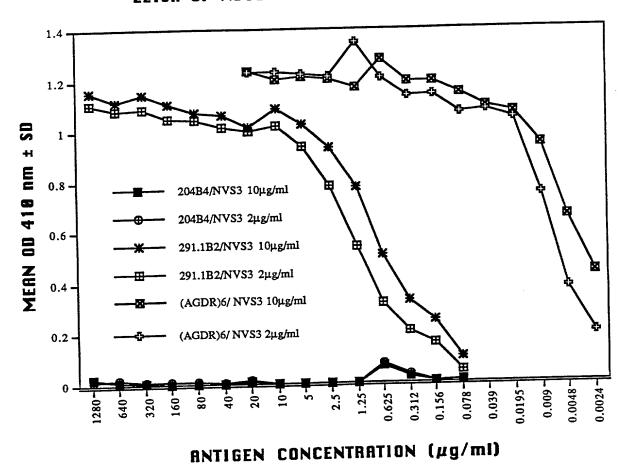


FIGURE 4

# ELISA OF NYS1 MAB AGAINST TMU261.1B1 AND (QGPGRP)2 PEPTIDE

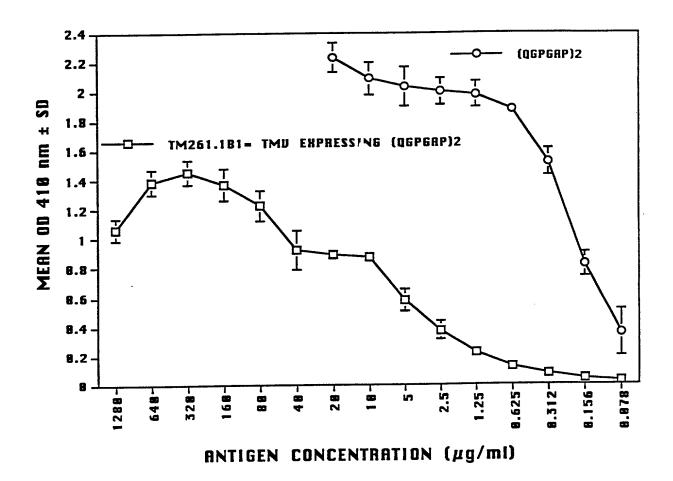


FIGURE 5

Express Mail No. EM555262225US

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Turpen et al.

1 1

Group Art Unit: not yet assigned

Serial No.: not yet assigned

Examiner: not yet assigned

Filed: April 7, 1998

Attorney Docket No.: 00801.087.US01

For:

PRODUCTION OF PEPTIDES IN PLANTS AS VIRAL COAT PROTEIN FUSIONS

### PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.607 COPYING CLAIMS FROM PATENT FOR PURPOSES OF INTERFERENCE

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants present the following claims and request that an interference be declared between these claims and the claims of U.S. Patent No. 5,618,699.

#### **AMENDMENT**

#### IN THE SPECIFICATION:

Please amend the specification as follows:

On page 1, line 14, after "The present application is", please insert --a continuation of application 08/324,003, filed October 14, 1994, which is--.

On page 1, line 17, after "December 30, 1992." please insert:

--The present application is a continuation-in-part of Application No. 08/184,237, filed January 19, 1994, which is a continuation-in-part of Application No. 07/997,733, filed December 30, 1992, now abandoned, which is a continuation of Application No. 07/923,692, filed July 31, 1992, now Patent No. 5,316,931, which is a continuation-in-part of Applications No. 07/600,244, filed October 22, 1990, now abandoned, No. 07/641,617, filed January 16, 1991, now abandoned, Application No. 07/737,899, filed July 26, 1991, now abandoned, and Application No. 07/739,143, filed August 1, 1991, now abandoned. Application No. 07/600,244 is a continuation of Application No. 07/310,881, filed February

17, 1989, now abandoned, which is a continuation-in-part of Applications No. 07/160,766 and No. 07/160,771, both filed on February 26, 1988 and now abandoned. Application No. 07/641,617 is a continuation of Application No. 07/347,637, filed May 5, 1989, now abandoned. Application No. 07/737,899 is a continuation of Application No. 07/363,138, filed June 8, 1989, now abandoned, which is a continuation-in-part of Application No. 07/219,279, filed July 15, 1988, now abandoned. Application No. 07/739,143 is a continuation-in-part of Applications No. 07/600,244, filed October 22, 1990, now abandoned, No. 07/641,617, filed January 16, 1991, now abandoned, and No. 07/737,899, filed July 26, 1991, now abandoned. Benefit is claimed under 35 U.S.C. § 120 with respect to Applications No. 07/923,692, filed July 31, 1992, No. 07/739,143 filed August 1, 1991, No. 07/310,881, filed February 17, 1989, and No. 07/160,766, filed February 26, 1988.--

On page 3, line 10, please replace "plan" with --plant--.

On page 7, line 9, please replace "o" with --of--.

On page 8, line 4, please replace "fusion s" with --fusions--.

On page 10, line 35, please replace "dissassembled" with --disassembled--.

On page 11, line 5, please replace "infections" with --infectious--.

On page 11, line 8, please replace "having" with --have--.

On page 11, line 13, please insert --have-- after "may".

#### IN THE CLAIMS:

Please cancel claims 1-13.

Please add the following claims:

--14. A plant virus vector comprising a viral assembly origin and a foreign protein gene linked downstream of a coat protein gene of a Tobamovirus via a nucleotide sequence of a Tobamovirus which causes readthrough, such that upon expression of the vector in a plant, the coat protein and a fusion protein of the coat protein and the foreign protein are systemically produced in the plant.--

- --15. A process for systemically expressing a fusion protein of a coat protein and a foreign protein in a plant comprising the steps of:
  - (a) inoculating a plant with a plant virus vector, wherein the plant virus vector comprises a viral assembly origin and a foreign protein gene linked downstream of a coat protein gene of a Tobamovirus via a nucleotide sequence of a Tobamovirus which causes readthrough, such that upon expression of the vector in the plant, the coat protein and the fusion protein are systemically produced in the plant; and
  - (b) expressing the fusion protein systemically in the plant. --
- --16. A process for producing a fusion protein of a coat protein and a foreign protein in a plant comprising the steps of:
  - (1) inoculating a plant with a plant virus vector, wherein the plant virus vector comprises a viral assembly origin and a foreign protein gene linked downstream of a coat protein gene of a Tobamovirus via a nucleotide sequence of a Tobamovirus which causes readthrough, such that upon expression of the vector in a plant, the coat protein and the fusion protein of the coat protein and the foreign protein are systemically produced in the plant;
  - (2) recovering virions from the plant; and
  - (3) isolating the fusion protein from the virions. --
- --17. A virion particle comprising a coat protein of a Tobamovirus and a fusion protein of the coat protein and a foreign protein.--

#### **REMARKS**

On April 8, 1997, U.S. Patent No. 5,618,699 (hereinafter, the "'699 Patent") issued to Hiroshi Hamamoto, Yoshinori Sugiyama, Noriaki Nakagawa, Eiji Hashida, Suguru Tsuchimoto, Noriyuki Nakanishi, Yuji Matsunaga and Yoshimi Okada. The patent is entitled PLANT VIRUS VECTOR, PLASMID, PROCESS FOR EXPRESSION OF FOREIGN GENE AND PROCESS FOR OBTAINING FOREIGN GENE PRODUCT and claims a plant virus vector capable of systemically expressing a coat protein fusion protein in a plant. In addition, the patent claims a process for systemically expressing a fusion protein in a plant using the virus vector, a process for producing a fusion protein in a plant using the virus vector, and a virion particle comprising a coat protein of a Tobamovirus and a fusion protein. A copy of this patent is attached as Exhibit A for the Examiner's convenience.

Claims 14-17 have been added to the subject application. These claims define the same patentable invention as the claims of U.S. Patent No. 5,618,699.

Applicants' claims are supported in a number of different passages in the subject application. Only representative passages are cited below. Additional passages could have been listed, but have not been included for the sake of brevity.

### PATENT CLAIM 1 AND APPLICANT'S CLAIM 14

Patent claim 1 is directed to a plant virus vector capable of expressing a foreign protein in a plant. The foreign protein is expressed as a fusion protein with the coat protein of the virus.

Applicants' claim 14 corresponds to patent claim 1 and is copied verbatim from the patent. Claim 14 is supported in Applicants' specification as follows:

Patent Claim 1

Applicants' Disclosure

1. A plant virus vector comprising

The present invention relates to the field of genetically engineered peptide

production in plants, more specifically, the invention relates to the use of tobamovirus vectors to express fusion proteins. (Page 1, lines 8-11)

The subject invention provides novel recombinant plant viruses that code for the expression of fusion proteins that consist of a fusion between a plant viral coat protein and a protein of interest. (Page 4, lines 34-37)

In a preferred embodiment of the invention, the 17.5 Kda coat protein of tobacco mosaic virus is used in conjunction with a tobacco mosaic virus derived vector.

(Page 5, lines 14-17)

The TMVCP fusion vectors described in the following examples are based on the U1 or wild type TMV strain and are therefore compared to the parental virus as a control. (Page 14, lines 8-10)

Detailed information on how to make and use recombinant RNA plant viruses can be found, among other places in U.S. patent 5,316,931 (Donson et al.), which is herein incorporated by reference. (Page 10, lines 14-17; Column 10, lines 1-11 of U.S. Patent No. 5,316,931 states: "Initiation of TMV assembly occurs by intereaction between ring-shaped aggregates ("discs") of coat protein (each disc consisting of two layers of 17 subunits) and a unique internal nucleation site in the RNA; a hairpin region about 900 nucleotides from the 3' end in the common strain of TMV. Any RNA, including subgenomic RNAs containing this site, may be packaged into virions. The discs apparently assume a helical form on interaction with the RNA, and assembly (elongation) then proceeds in both directions (but much more rapidly in the 3'- to 5'-direction from the nucleation site).

a viral assembly origin

The expression of the subject coat fusion proteins may be driven by any of a variety of promoters functional in the genome of the recombinant plant viral vector. In a preferred embodiment of the invention, the subject fusion protein are expressed from plant viral subgenomic promoters using vectors as described in U.S. Patent 5,316,931. (Page 9, lines 27-32)

As tobamovirus coat proteins may self-assemble into virus particles, the virus particles of the invention may be assembled either <u>in vivo</u> or <u>in vitro</u>. (Page 10, lines 32-34)

The protein of interest portion of the fusion protein for expression may consist of a peptide of virtually any amino acid sequence...

(Page 5, lines 17-19)

The protein of interest portion of the subject fusion proteins may vary in size from one amino acid residue to over several hundred amino acid residues... (Page 6, lines 3-5)

The fusion proteins of the invention comprise two portions: (i) a plant viral coat protein and (ii) a protein of interest. (Page 5, lines 5-7)

In a preferred embodiment of the invention, the 17.5 Kda coat protein of tobacco mosaic virus is used in conjunction with a tobacco mosaic virus derived vector.

(Page 5, lines 14-17)

The fusion joint may be located at the amino terminus of the coat protein portion of the fusion protein (joined to the carboxyl terminus of the protein of interest).

(Page 6, lines 35-37)

Polynucleotide sequences encoding the

and a foreign protein gene

linked downstream of a coat protein gene of a Tobamovirus

via a nucleotide sequence of a Tobamovirus

which causes readthrough,

"leaky" stop codon at a fusion joint. The stop codon may be present a s the codon immediately adjacent to the fusion joint, or may be located close (e.g., within 9 bases) to the fusion joint. A leaky stop codon may be included in polynucleotides encoding the subject coat fusion protein so as to maintain a desired ratio of fusion protein to wild type coat protein. A "leaky" stop codon does not always result in translational termination and is periodically translated. (Page 8, lines 13-22)

subject fusion proteins may comprise a

such that upon expression of the vector in a plant, the coat protein and a fusion protein of the coat protein and the foreign protein are systemically produced in the plant.

Thus, by including a leaky stop codon at a fusion joint coding region in a recombinant viral vector encoding a coat fusion protein, the vector may be used to produce both a fusion protein and a second smaller protein, e.g., the viral coat protein.

(Page 8, lines 33-37)

In another embodiment of the virus particles of the invention, the virus particle coat may consist of a mixture of coat fusion proteins and non-fusion coat protein, wherein the ratio of the two proteins may be varied. (Page 10, lines 28-32)

#### PATENT CLAIM 9 AND APPLICANTS' CLAIM 15

Patent claim 9 is directed to a process for systemically expressing a fusion protein of a coat protein and a foreign protein in a plant.

Applicants' new claim 15 corresponds to patent claim 9 and is copied verbatim from the '699 patent. Claim 15 is supported in Applicants' specification as follows:

#### Patent Claim 9

# 9. A process for systemically expressing a fusion protein of a coat protein and a foreign protein in a plant comprising the steps of:

## (a) inoculating a plant with a plant virus vector,

#### Applicants' Disclosure

The recombinant plant viruses of the invention provide for systemic expression of the fusion protein, by systemically infecting cells in a plant. (Page 4, line 37 to page 5, line 2)

The invention also provides for recombinant plant cells comprising the

subject coat fusion proteins and/or virus particles comprising the subject coat fusion proteins. These plant cells may be produced either by infecting plant cells (either in culture or in whole plants) with infections virus particles of the invention or with polynucleotides encoding the genomes of the infectious virus particles of the invention. (Page 11, lines 1-7)

wherein the plant virus vector comprises

The present invention relates to the field of genetically engineered peptide production in plants, more specifically, the invention relates to the use of tobamovirus vectors to express fusion proteins.

(Page 1, lines 8-11)

The subject invention provides novel recombinant plant viruses that code for the expression of fusion proteins that consist of a fusion between a plant viral coat protein and a protein of interest. (Page 4, lines 34-37)

In a preferred embodiment of the invention, the 17.5 Kda coat protein of tobacco mosaic virus is used in conjunction with a tobacco mosaic virus derived vector. (Page 5, lines 14-17)

The TMVCP fusion vectors described in the following examples are based on the U1 or wild type TMV strain and are therefore compared to the parental virus as a control.

(Page 14, lines 8-10)

Detailed information on how to make and use recombinant RNA plant viruses can be found, among other places in U.S. patent 5,316,931 (Donson et al.), which is herein incorporated by reference. (Page 10, lines 14-17; Column 10, lines 1-11 of U.S. Patent No. 5,316,931 states: "Initiation of TMV assembly occurs by intereaction between ring-shaped aggregates ("discs") of coat protein (each

a viral assembly origin

disc consisting of two layers of 17 subunits) and a unique internal nucleation site in the RNA; a hairpin region about 900 nucleotides from the 3' end in the common strain of TMV. Any RNA, including subgenomic RNAs containing this site, may be packaged into virions. The discs apparently assume a helical form on interaction with the RNA, and assembly (elongation) then proceeds in both directions (but much more rapidly in the 3'- to 5'-direction from the nucleation site).

The expression of the subject coat fusion proteins may be driven by an y of a variety of promoters functional in the genome of the recombinant plant viral vector. In a preferred embodiment of the invention, the subject fusion protein are expressed from plant viral subgenomic promoters using vectors as described in U.S. Patent 5,316,931. (Page 9, lines 27-32)

As tobamovirus coat proteins may selfassemble into virus particles, the virus particles of the invention may be assembled either in vivo or in vitro. (Page 10, lines 32-34)

The protein of interest portion of the fusion protein for expression may consist of a peptide of virtually any amino acid sequence...

(Page 5, lines 17-19)

The protein of interest portion of the subject fusion proteins may vary in size from one amino acid residue to over several hundred amino acid residues... (Page 6, lines 3-5)

The fusion proteins of the invention comprise two portions: (i) a plant viral coat protein and (ii) a protein of interest. (Page 5, lines 5-7)

In a preferred embodiment of the invention, the 17.5 Kda coat protein of

and a foreign protein gene

linked downstream of a coat protein gene of a Tobamovirus

tobacco mosaic virus is used in conjunction with a tobacco mosaic virus derived vector. (Page 5, lines 14-17)

The fusion joint may be located at the amino terminus of the coat protein portion of the fusion protein (joined to the carboxyl terminus of the protein of interest).

(Page 6, lines 35-37)

via a nucleotide sequence of a Tobamovirus which causes readthrough,

Polynucleotide sequences encoding the subject fusion proteins may comprise a "leaky" stop codon at a fusion joint. The stop codon may be present a s the codon immediately adjacent to the fusion joint, or may be located close (e.g., within 9 bases) to the fusion joint. A leaky stop codon may be included in polynucleotides encoding the subject coat fusion protein so as to maintain a desired ratio of fusion protein to wild type coat protein. A "leaky" stop codon does not always result in translational termination and is periodically translated. (Page 8, lines 13-22)

such that upon expression of the vector in a plant, the coat protein and the fusion protein are systemically produced in the plant; and

Thus, by including a leaky stop codon at a fusion joint coding region in a recombinant viral vector encoding a coat fusion protein, the vector may be used to produce both a fusion protein and a second smaller protein, e.g., the viral coat protein.

(Page 8, lines 33-37)

In another embodiment of the virus particles of the invention, the virus particle coat may consist of a mixture of coat fusion proteins and non-fusion coat protein, wherein the ratio of the two proteins may be varied. (Page 10, lines 28-32)

(b) expressing the fusion protein systemically in the plant.

The recombinant plant viruses of the invention provide for systemic expression of the fusion protein, by systemically infecting cells in a plant. (Page 4, line 37 to page 5, line 2)

#### PATENT CLAIM 13 AND APPLICANTS' CLAIM 16

Patent claim 13 is directed to a process for producing a fusion protein of a coat protein and a foreign protein in a plant.

Applicants' new claim 16 corresponds to patent claim 13 and is copied verbatim from the patent. Claim 13 is supported in Applicants' specification as follows.

# Patent Claim 13 Applicants' Disclosure

13. A process for producing a fusion protein of a coat protein and a foreign protein in a plant comprising the steps of:

Thus by employing the recombinant plant viruses of the invention, large quantities of a protein of interest may be produced.
(Page 5, lines 2-4)

(1) inoculating a plant with a plant virus vector,

The invention also provides for recombinant plant cells comprising the subject coat fusion proteins and/or virus particles comprising the subject coat fusion proteins. These plant cells may be produced either by infecting plant cells (either in culture or in whole plants) with infections virus particles of the invention or with polynucleotides encoding the genomes of the infectious virus particles of the invention. (Page 11, lines 1-7)

wherein the plant virus vector comprises

The present invention relates to the field of genetically engineered peptide production in plants, more specifically, the invention relates to the use of tobamovirus vectors to express fusion proteins.

(Page 1, lines 8-11)

The subject invention provides novel recombinant plant viruses that code for the expression of fusion proteins that

consist of a fusion between a plant viral coat protein and a protein of interest. (Page 4, lines 34-37)

In a preferred embodiment of the invention, the 17.5 Kda coat protein of tobacco mosaic virus is used in conjunction with a tobacco mosaic virus derived vector.

(Page 5, lines 14-17)

The TMVCP fusion vectors described in the following examples are based on the U1 or wild type TMV strain and are therefore compared to the parental virus as a control. (Page 14, lines 8-10)

Detailed information on how to make and use recombinant RNA plant viruses can be found, among other places in U.S. patent 5,316,931 (Donson et al.), which is herein incorporated by reference. (Page 10, lines 14-17; Column 10, lines 1-11 of U.S. Patent No. 5,316,931 states: "Initiation of TMV assembly occurs by intereaction between ring-shaped aggregates ("discs") of coat protein (each disc consisting of two layers of 17 subunits) and a unique internal nucleation site in the RNA; a hairpin region about 900 nucleotides from the 3' end in the common strain of TMV. Any RNA, including subgenomic RNAs containing this site, may be packaged into virions. The discs apparently assume a helical form on interaction with the RNA, and assembly (elongation) then proceeds in both directions (but much more rapidly in the 3'- to 5'-direction from the nucleation site).

The expression of the subject coat fusion proteins may be driven by an y of a variety of promoters functional in the genome of the recombinant plant viral vector. In a preferred embodiment of the invention, the subject fusion protein are expressed from plant viral subgenomic

a viral assembly origin

promoters using vectors as described in U.S. Patent 5,316,931. (Page 9, lines 27-32)

As tobamovirus coat proteins may self-assemble into virus particles, the virus particles of the invention may be assembled either <u>in vivo</u> or <u>in vitro</u>. (Page 10, lines 32-34)

The protein of interest portion of the fusion protein for expression may consist of a peptide of virtually any amino acid sequence...
(Page 5, lines 17-19)

The protein of interest portion of the subject fusion proteins may vary in size from one amino acid residue to over several hundred amino acid residues... (Page 6, lines 3-5)

The fusion proteins of the invention comprise two portions: (i) a plant viral coat protein and (ii) a protein of interest. (Page 5, lines 5-7)

In a preferred embodiment of the invention, the 17.5 Kda coat protein of tobacco mosaic virus is used in conjunction with a tobacco mosaic virus derived vector.

(Page 5, lines 14-17)

The fusion joint may be located at the amino terminus of the coat protein portion of the fusion protein (joined to the carboxyl terminus of the protein of interest). (Page 6, lines 35-37)

Polynucleotide sequences encoding the subject fusion proteins may comprise a "leaky" stop codon at a fusion joint. The stop codon may be present a s the codon immediately adjacent to the fusion joint, or may be located close (e.g., within 9 bases) to the fusion joint. A leaky stop codon may be included in polynucleotides encoding the subject coat fusion protein so as to maintain a

and a foreign protein gene

linked downstream of a coat protein gene of a Tobamovirus

via a nucleotide sequence of a Tobamovirus which causes readthrough,

such that upon expression of the vector in a plant, the coat protein and the fusion protein are systemically produced in the plant;

the coat protein and the fusion protein of the coat protein and the foreign protein are systemically produced in the plant;

(2) recovering virions from the plant; and

desired ratio of fusion protein to wild type coat protein. A "leaky" stop codon does not always result in translational termination and is periodically translated. (Page 8, lines 13-22)

Thus, by including a leaky stop codon at a fusion joint coding region in a recombinant viral vector encoding a coat fusion protein, the vector may be used to produce both a fusion protein and a second smaller protein, e.g., the viral coat protein.

(Page 8, lines 33-37)

In another embodiment of the virus particles of the invention, the virus particle coat may consist of a mixture of coat fusion proteins and non-fusion coat protein, wherein the ratio of the two proteins may be varied. (Page 10, lines 28-32)

The recombinant plant viruses of the invention provide for systemic expression of the fusion protein, by systemically infecting cells in a plant. (Page 4, line 37 to page 5, line 2)

Thus by including a leaky stop codon at a fusion joint coding region in a recombinant viral vector encoding a coat fusion protein, the vector may be used to produce both a fusion protein and a second smaller protein, e.g., the viral coat protein.

(Page 8, lines 33-37)

In another embodiment of the virus particles of the invention, the virus particle coat may consist of a mixture of coat fusion proteins and non-fusion coat protein, wherein the ratio of the two proteins may be varied.

(Page 10, lines 28-32)

In addition to providing the described viral coat fusion proteins, the invention also provides for virus particles that comprise the subject fusion proteins.

(Page 10, lines 24-26)

(3) isolating the fusion protein from the virions.

The virus particles may also be conveniently disassembled using well known techniques so as to simplify the purification of the subject fusion proteins, or portions thereof. (Page 10, lines 34-37)

In another embodiment of the invention, the fusion joints on the subject coat fusion proteins are designed so as to comprise an amino acid sequence that is a substrate for protease. By providing a coat fusion protein having such a fusion joint, the protein of interest may be conveniently derived from the coat protein fusion by using a suitable proteolytic enzyme. (Page 9, lines 19-25)

### PATENT CLAIM 16 AND APPLICANTS' CLAIM 17

Patent claim 16 is directed to a virion particle comprising a coat protein of a Tobamovirus and a fusion protein of the coat protein and a foreign protein.

Applicants' claim 17 corresponds to patent claim 16 and is copied verbatim from the patent. Claim 17 is supported in Applicants' specification as follows:

#### Patent Claim 16

A virion particle comprising a coat 16. protein of a Tobamovirus and a fusion protein of the coat protein and a foreign protein.

#### Applicants' Disclosure

In addition to providing the described viral coat fusion proteins, the invention Also provides for virus particles that comprise the subject fusion proteins. The coat of the virus particles of the invention may consist entirely of coat fusion protein. In another embodiment of the virus particles of the invention, the virus particle coat may consist of a mixture of coat fusion proteins and nonfusion coat protein, wherein the ratio of the two proteins may be varied. (Page 10, lines 24-32)

#### **PROPOSED COUNTS**

The following four counts are proposed for purposes of interference:

#### PROPOSED COUNT 1

1. A plant virus vector comprising a viral assembly origin and a foreign protein gene linked downstream of a coat protein gene of a Tobamovirus via a nucleotide sequence of a Tobamovirus which causes readthrough, such that upon expression of the vector in a plant, the coat protein and a fusion protein of the coat protein and the foreign protein are systemically produced in the plant.

Proposed Count 1 corresponds exactly to patent claim 1. Claims 2-7 and claims 14-15 are dependent upon claim 1. Thus, claim 1 encompasses all of the subject matter of claims 2-7 and 14-15.

#### PROPOSED COUNT 2

- 2. A process for systemically expressing a fusion protein of a coat protein and a foreign protein in a plant comprising the steps of:
  - (a) inoculating a plant with a plant virus vector, wherein the plant virus vector comprises a viral assembly origin and a foreign protein gene linked downstream of a coat protein gene of a Tobamovirus via a nucleotide sequence of a Tobamovirus which causes readthrough, such that upon expression of the vector in the plant, the coat protein and the fusion protein are systemically produced in the plant; and
  - (b) expressing the fusion protein systemically in the plant.

Proposed Count 2 corresponds exactly to patent claim 9. Claims 10-12 are dependent upon claim 9. Thus, claim 9 encompasses all of the subject matter of claims 10-12.

#### PROPOSED COUNT 3

- 3. A process for producing a fusion protein of a coat protein and a foreign protein in a plant comprising the steps of:
  - (1) inoculating a plant with a plant virus vector, wherein the plant virus vector comprises a viral assembly origin and a foreign protein gene linked downstream of a coat protein gene of a Tobamovirus via a nucleotide sequence of a Tobamovirus which causes readthrough, such that upon expression of the vector in a plant, the coat protein and the fusion protein of the coat protein and the foreign protein are systemically produced in the plant;
  - (2) recovering virions from the plant; and
  - (3) isolating the fusion protein from the virions.

Proposed Count 3 corresponds exactly to patent claim 13.

#### PROPOSED COUNT 4

4. A virion particle comprising a coat protein of a Tobamovirus and a fusion protein of the coat protein and a foreign protein.

Proposed Count 4 corresponds exactly to patent claim 16.

### The Remaining Independent Claims

The remaining independent claims in the '699 Patent are claims 17, 18, 22 and 24.

Patent claim 17 is not patentably distinct from patent claim 9 (Proposed Count 2), as the former merely recites the subspecies coat protein of a Tobamovirus, which is encompassed by claim 9.

Patent claim 18 is not patentable over the corresponding generic patent claim 1 (Proposed Count 1), as claim 18 merely recites the subspecies tobacco mosaic viral (TMV) vector and specific DNA readthrough sequences, which are encompassed by claim 1. Claims 19-21 depend from claim 18.

Patent claim 22 is not patentable over the corresponding generic patent claim 9 (Proposed Count 2), as claim 22 merely recites the subspecies TMV vector and TMV coat protein, which are encompassed by claim 9. Claim 23 depends from claim 22.

Patent claim 24 is not patentable over the corresponding generic patent claim 13 (Proposed Count 3), as claim 24 merely recites the subspecies TMV vector and TMV coat protein, which are encompassed by claim 13. Claim 25 depends from claim 24.

# CLAIMS TO BE DESIGNATED AS CORRESPONDING TO THE COUNTS

As noted in 37 C.F.R. § 1.606, all claims that "define the same patentable invention as the count shall be designated as corresponding to the count" and "any single patent claim will be presumed...not to contain separate patentable inventions."

#### PROPOSED COUNT 1

Claims 2-8 and 14-15 depend from claim 1 in U.S. Patent No. 5,618,699.

Additionally, as explained above, claim 18 is not patentable over the corresponding generic claim 1 as it merely recites a subspecies of claim 1. Claims 19-21 depend from claim 18.

Thus, applying the provisions of 37 C.F.R. § 1.606 to these claims, claims 1-8, 14-15 and 18-21 in the '699 patent are directed to the same patentable invention. Accordingly, claims 1-8, 14-15 and 18 should be designated as corresponding to Proposed Count 1.

Applicants' new claim 14 should also be designated as corresponding to Proposed Count 1, as this claim defines the same patentable invention as patent claims 1-8, 14-15 and 18-21.

#### PROPOSED COUNT 2

Applicants' Proposed Count 2 is identical to claim 9 in U.S. Patent No. 5,618,699. Claims 10-12 depend from claim 9. Additionally, as explained above, claim 17 and claim 22 in the patent are not patentable over the corresponding generic claim 9, as each merely recites a subspecies coat protein and/or viral vector of claim 9. Claim 23 depends from claim 22. Thus, applying the provisions of 37 C.F.R. § 1.606 to these claims, claims 9-12, 17 and 22 are directed to the same patentable invention. Accordingly, claims 9-12, 17, 22 and 23 should be designated as corresponding to Proposed Count 2.

Applicants' new claim 15 should also be designated as corresponding to Proposed Count 2, as this claim defines the same patentable invention as patent claims 9-12, 17, 22 and 23.

#### PROPOSED COUNT 3

Applicants' Proposed Count 3 is identical to claim 13 in U.S. Patent No. 5,618,699. No other claims depend from claim 13. However, as explained above, claim 24 is not patentable over the corresponding generic claim 13 as it merely recites a subspecies TMV vector and coat protein of claim 13. Claim 25 depends from claim 24. Thus, applying the provisions of 37 C.F.R. § 1.606 to these claims, claims 13, 24 and 25 are directed to the same patentable invention. Accordingly, claims 13, 24 and 25 should be designated as corresponding to Proposed Count 3.

Applicants' new claim 16 should also be designated as corresponding to Proposed Count 3, as this claim defines the same patentable invention as patent claims 13, 24 and 25.

#### PROPOSED COUNT 4

Applicants' Proposed Count 4 corresponds to claim 16 of the '699 Patent. No other claims depend from claim 16. Accordingly, claim 16 of the '699 Patent should be designated as corresponding to Proposed Count 4.

Applicants' new claim 17 should also be designated as corresponding to Proposed Count 4, as this claim defines the same patentable invention as patent claim 16.

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The designation of Applicants' claims 14-17 as corresponding to Proposed Counts 1-4, respectively, is not to be construed as Applicants' acquiescence in the correctness of the designation or the correctness of the Counts or a concession that each claim is directed to a single patentable invention. Applicants reserve the right to challenge to propriety of the Proposed Counts, the designation of any claim as corresponding to a particular Proposed Count, and the patentability of any claim during the preliminary motion period in an interference, or otherwise.

# ENTITLEMENT TO EARLIER FILING DATE UNDER 35 U.S.C. § 120

Applicants have amended the specification to claim the benefit of earlier-filed related applications. An identical amendment was made to the parent U.S. Patent Application Serial No. 08/324,003, and was entered by the Examiner as indicated in the Office Action mailed December 8, 1997.

It should also be noted that in parent application 08/324,003, in the Office Action mailed February 27, 1997, the Examiner stated:

Applicants' effective filing date of **February 1989** has obviated the prior art rejections over Takamatsu *et al.*, WO 92/18618 and Hamamoto *et al.* (emphasis added)

The Hamamoto reference cited by the Examiner is Hamamoto, H., Sugiyama, Y., Nakagawa, N., Hashida, E., Matsunaga, Y., Takemoto, S., Watanabe, Y., and Okada, Y. 1993b, "A new tobacco mosaic virus vector and its use for the systemic production of angiotensin-I-converting enzyme inhibitor in transgenic tobacco and tomato," <u>Bio/Technology</u> 11:930-932 (1993).

### COMPLIANCE WITH 37 C.F.R. § 1.607(a)

This request for interference complies with the requirements of 37 C.F.R. § 1.607(a):

- (1) The patent is identified as U.S. Patent No. 5,618,699 to Hamamoto et al.;
- (2) At least one proposed counts have been presented;
- (3) Claims in the '699 Patent corresponding to each Proposed Count:

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- (a) Claims 1-8, 14-15 and 18-21 in the '699 Patent should be designated as corresponding to Proposed Count 1;
- (b) Claims 9-12, 17 and 22-23 in the '699 Patent should be designated as corresponding to Proposed Count 2;
- (c) Claims 13, 24 and 25 in the '699 Patent should be designated as corresponding to Proposed Count 3;
- (d) Claim 16 in the '699 Patent should be designated as corresponding to Proposed Count 4;
- (4) Applicants' claims corresponding to each Proposed Count:
  - (a) Applicants' claim 14 should be designated as corresponding to Proposed Count 1;
  - (b) Applicants' claim 15 should be designated as corresponding to Proposed Count 2;
  - (c) Applicants' claim 16 should be designated as corresponding to Proposed Count 3;
  - (d) Applicants' claim 17 should be designated as corresponding to Proposed Count 4;
- (5) Applicants' claims 14-17 have been applied to the subject application.

Applicants respectfully request that an interference be expeditiously declared with U.S. Patent 5,618,699. Applicants further request that they be accorded benefit of the filing date of October 14, 1994.

A showing under 37 C.F.R. § 1.608(b) is not required, because Applicants' effective filing date of October 14, 1994 antedates the date of November 30, 1994, which is the earliest date that could possibly be accorded to Hamamoto et al. Applicant does not concede that Hamamoto et al. are entitled to the filing date of November 30, 1994.

The Commissioner is hereby authorized to charge any fee or underpayment, or credit any overpayment, to the Howrey & Simon Deposit Account No. 08-3038 for any matter in

connection with this communication, including any fee for extension of time which may be required.

Respectfully submitted,

Dated: April 7, 1998

Albert P. Halluin

Sardiil P41, 612 Reg. No. 25,227

HOWREY & SIMON

1299 Pennsylvania Avenue, N.W.

Box 34

Washington, D. C. 20004-2402

Tel: (650) 463-8109 Fax: (650) 463-8400

# DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. underneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

### PRODUCTION OF PEPTIDES IN PLANTS AS VIRAL COAT PROTEIN FUSIONS

the specification of which:				
☐ is attached hereto  ☑ was filed in the United States on Octob with amendment(s) filed on	oer 14, 1994 as Application S	erial No. <u>08/324,003</u>	(for declaration not a	accompanying application)
☐ was filed as PCT international application	on Serial No.	on and	was amended und	ler PCT
I hereby state that I have reviewed and und amendment referred to above.				
I acknowledge the duty to disclose informat §1.56.	ion known to me to be materia	l to patentability as defined in Tit	le 37, Code of Fed	leral Regulations,
I hereby claim foreign priority benefits un certificate listed below and have also identi of the application on which priority is clai	fied below any foreign applicat	de, §119/§172 of any foreign ap tion for patent or inventor's certif	plication(s) for palicate having a filin	tent or inventor's g date before tha
EARLIEST FOREIGN APPLICA	ATION(S), IF ANY, FILED F	PRIOR TO THE FILING DATE	OF THE APPLIC	ATION
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIO CLAIMEI 35 U.S.C	RITY D UNDER
			YES □	NO 🗆
			YES 🗆	NO □
			YES □	NO 🗆
			YES □	NO 🗆
	1			

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

			STATUS	
APPLICATION SERIAL NO.	FILING DATE	PATENTED	PENDING	ABANDONED

POWER OF ATTORNEY: As a named inventor, I hereby appoint S. Leslie Misrock (Reg. No. 18872), Harry C. Jones, III (Reg. No. 20280), Berj A. Terzian (Reg. No. 20060), Gerald J. Flintoft (Reg. No. 20823), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Isaac Jarkovsky (Reg. No. 22713), Joseph V. Colaianni (Reg. No. 20019), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebel (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Jon R. Stark (Reg. No. 30111), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Albert P. Halluin (Reg. No. 25227), and Marcia H. Sundeen (Reg. No. 30893), whose address is Pennie & Edmonds, 1155 Avenue of the Americas, New York, New York 10036, and each of them, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

PEMP-31415.1 (12/20/94 4:06pm)

DIRECT TELEPHONE CALLS TO: PENNIE & EDMONDS SEND CORRESPONDENCE TO: 1155 AVENUE OF THE AMERICAS PENNIE & EDMONDS (212) 790-9090 NEW YORK, N.Y. 10036-2711 MIDDLE NAME FIRST NAME LAST NAME **FULL NAME THOMAS** Η. TURPEN OF INVENTOR COUNTRY OF CITIZENSHIP STATE OR FOREIGN COUNTRY 2 CITY RESIDENCE & 0 U.S.A. California Vacaville CITIZENSHIP STATE OR COUNTRY ZIP CODE CITY STREET POST OFFICE 95688 California Vacaville 319 Woodcrest Drive **ADDRESS** MIDDLE NAME FIRST NAME LAST NAME **FULL NAME** J. **STEPHEN** REINL OF INVENTOR COUNTRY OF CITIZENSHIP STATE OR FOREIGN COUNTRY 2 CITY **RESIDENCE &** O U.S.A. California Sacramento CITIZENSHIP 2 ZIP CODE STATE OR COUNTRY CITY POST OFFICE 95818 **CALIFORNIA** 920 - 9th Avenue Sacramento **ADDRESS** MIDDLE NAME FIRST NAME LAST NAME **FULL NAME** K. LAURENCE **GRILL** OF INVENTOR COUNTRY OF CITIZENSHIP STATE OR FOREIGN COUNTRY **RESIDENCE &** 0 U.S.A. California Vacaville CITIZENSHIP 3 STATE OR COUNTRY ZIP CODE CITY STREET POST OFFICE California 95688 Vacaville 3570 Cantelow Road **ADDRESS** MIDDLE NAME FIRST NAME LAST NAME **FULL NAME** OF INVENTOR COUNTRY OF CITIZENSHIP 2 STATE OR FOREIGN COUNTRY CITY RESIDENCE & 0 **CITIZENSHIP** ZIP CODE STATE OR COUNTRY STREET POST OFFICE **ADDRESS** MIDDLE NAME FIRST NAME LAST NAME **FULL NAME** OF INVENTOR COUNTRY OF CITIZENSHIP 2 STATE OR FOREIGN COUNTRY CITY RESIDENCE & 0 **CITIZENSHIP** 5 STATE OR COUNTRY ZIP CODE CITY STREET POST OFFICE **ADDRESS** MIDDLE NAME FIRST NAME LAST NAME **FULL NAME** OF INVENTOR STATE OR FOREIGN COUNTRY COUNTRY OF CITIZENSHIP 2 RESIDENCE & 0 CITIZENSHIP 6 ZIP CODE STATE OR COUNTRY CITY STREET POST OFFICE **ADDRESS** 

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

		± 1/1 0
SIGNATURE OF INVENTOR 201 Thomas H. Turpe	SIGNATURE OF INVENTOR 202 Stephen J.	Reinl James hell Laurence K. Grill
DATE 12/21/94	DATE 12/21/94	12/21/94
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 203	SIGNATURE OF INVENTOR 206
DATE	DATE	DATE

### IN THE UI LU STATES PATENT AND TRADE! KA OFFICE

In re: Application of: Thomas H. Turpen, Stephen J. Reinl, and Lawrence K. Grill

Serial No.: 08/324,003

Group Art Unit: Not Yet Assigned

ĭ Filed: October 14, 1994

Examiner: Not Yet Assigned

For:

PRODUCTION OF PEPTIDES IN

Attorney Docket No.:

PLANTS AS VIRAL COAT PROTEIN

8129-087-999

**FUSIONS** 

# VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS [37 CFR 1.9(f) and 1.27(c)] - Small Business Concern

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

I hereby declare that I am

☐ the owner of the s  ☑ an official of the s	mall business concern identified below: mall business concern empowered to act in behalf of
the concern identif	ied below:
Name of concern	Biosource Technologies, Inc.
Address of concern_	3333 Vaca Valley Parkway
	Vacaville, CA 95688

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the person employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor(s) to convey rights to the small business concern with regard to the invention, entitled "PRODUCTION OF PEPTIDES IN PLANTS AS VIRAL COAT PROTEIN FUSIONS" by inventor(s) Thomas H. Turpen, Stephen J. Reinl, and Laurence K. Grill described in

	the specification filed	herewith				
X	application serial no.	08/324,003	filed	October	14,	1994

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention,

or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

PY 17 2 2 1 4 2 4 P		
FULL NAME		
ADDRESS		
□ INDIVIDUAL	☐ SMALL BUSINESS CONCERN	□ NONPROFIT
		ORGANIZATION
ADDRESS		
□ INDIVIDUAL	☐ SMALL BUSINESS CONCERN	☐ NONPROFIT
LI INDIVIDUAL	□ SMALL BUSINESS CONCERN	ORGANIZATION
FIILL NAME		
ADDRESS		
□ INDIVIDUAL -	☐ SMALL BUSINESS CONCERN	☐ NONPROFIT
		ORGANIZATION
ADDRESS		
□ INDIVIDUAL	☐ SMALL BUSINESS CONCERN	□ NONPROFIT
		ORGANIZATION
status resulting in loss of paying, the earliest status as a small entity.  I hereby declare that a all statements made on these statements were	y to file, in this application or patent, no of entitlement to small entity status pri of the issue fee or any maintenance fee is no longer appropriate. [37 CFR 1.2]  Il statements made herein of my own keep information and belief are believed to made with the knowledge that willful for	for to paying, or at the time edue after the date on which (8 (b))  mowledge are true and that be true; and further that alse statements and the like
	e by fine or imprisonment, or both, un	
	ode, and that such willful false statemen	
	ion, and patent issuing thereon, or any	patent to which this verified
statement is directed.		
Send correspondence t	o: PENNIE & EDMONDS 1155 Avenue of the Americas New York, N.Y. 10036-2711	Direct Telephone calls to: PENNIE & EDMONDS (212) 790-9090
	L S W L	λ α
Name of person signing		David R. Mc Gee
Title of person other the	han owner <u>Vice President</u> ning Biosource Technologies, Inc.: 333	23 Vara Valley Parkway
Vacaville, CA 95688		33 vaca valley Falkway,
Signature 2	Buide 2.1	Date 1/9/95
*NOTE: Separate veri	fied statements are required from each	named person, concern or

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)